

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



A01

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification:</b> <b>A61K 38/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 00/59526</b> <b>(43) International Publication Date:</b> 12 October 2000 (12.10.2000)
<b>(21) International Application Number:</b> PCT/US00/09352 <b>(22) International Filing Date:</b> 06 April 2000 (06.04.2000) <b>(30) Priority Data:</b> 60/128,202 07 April 1999 (07.04.1999) US <b>(60) Parent Application or Grant</b> THOMAS JEFFERSON UNIVERSITY [/]; (). HUANG, Ziwei [/]; (). WANG, Jialun [/]; (). ZHANG, Zhijia [/]; (). SHAN, Simci [/]; (). LU, Zhixian [/]; (). MONACO, Daniel, A.; ().	<b>Published</b>	
<b>(54) Title: ENHANCEMENT OF PEPTIDE CELLULAR UPTAKE</b> <b>(54) Titre: AMELIORATION DE LA FIXATION CELLULAIRE</b>  <b>(57) Abstract</b> The described invention claims peptides conjugated to lipophilic moieties to enhance cellular uptake. The peptide conjugates are useful in the modulation of apoptosis.  <b>(57) Abrégé</b> L'invention concerne des peptides conjugués à des fragments lipophiles afin d'améliorer la fixation cellulaire. Ces conjugués peptidiques conviennent pour la modulation de l'apoptose.		

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>7</sup> : <b>A61K 38/00</b>	<b>A1</b>	(11) International Publication Number: <b>WO 00/59526</b> (43) International Publication Date: <b>12 October 2000 (12.10.00)</b>
<p>(21) International Application Number: <b>PCT/US00/09352</b></p> <p>(22) International Filing Date: <b>6 April 2000 (06.04.00)</b></p> <p>(30) Priority Data: <b>60/128,202</b>      <b>7 April 1999 (07.04.99)</b>      <b>US</b></p> <p>(71) Applicant: <b>THOMAS JEFFERSON UNIVERSITY [US/US];</b> <b>1020 Locust Street, Philadelphia, PA 19107 (US).</b></p> <p>(72) Inventors: <b>HUANG, Ziwei; South 10th Street #505C, Philadel-</b> <b>phia, PA 19107 (US). WANG, Jialun; 111A Cherry Park,</b> <b>Park Boulevard, Cherry Hill, NJ 08002 (US). ZHANG,</b> <b>Zhijia; 111A Cherry Park, Park Boulevard, Cherry Hill, NJ</b> <b>08002 (US). SHAN, Simel; 37 Country Line, Voorhees, NJ</b> <b>08043 (US). LU, Zhixian; 37 Country Line, Voorhees, NJ</b> <b>08043 (US).</b></p> <p>(74) Agent: <b>MONACO, Daniel, A.; Seidel, Gonda, Lavorgna</b> <b>&amp; Monaco, P.C., Two Penn Center Plaza, Suite 1800,</b> <b>Philadelphia, PA 19102 (US).</b></p>		<p>(81) Designated States: <b>CA, JP, European patent (AT, BE, CH, CY,</b> <b>DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT,</b> <b>SE).</b></p> <p><b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i></p>
<p>(54) Title: <b>ENHANCEMENT OF PEPTIDE CELLULAR UPTAKE</b></p> <p>(57) Abstract</p> <p>The described invention claims peptides conjugated to lipophilic moieties to enhance cellular uptake. The peptide conjugates are useful in the modulation of apoptosis.</p>		

*FOR THE PURPOSES OF INFORMATION ONLY*

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

**Description**

5

10

15

20

25

30

35

40

45

50

55



5

10

15

## **ENHANCEMENT OF PEPTIDE CELLULAR UPTAKE**

20

### **Cross-Reference to Related Application**

The benefit of the filing date of U.S. provisional patent application Ser. No. 60/128,202, filed April 7 1999, is hereby claimed. The entire disclosure of the aforesaid provisional application is incorporated herein by reference.

25

### **Field of the Invention**

30

The invention relates to the field of therapeutics and diagnostics, and in particular to the delivery of biological molecules and other chemical substances into the interior of cells.

10

35

### **Background of the Invention**

40

Many biological molecules and pharmaceutical agents must first traverse the cell membrane in order to exert an action on cells. Transmembrane delivery of nucleic acids, for example, has relied on protein carriers, antibody carriers, liposomal delivery systems, direct injection into cells, electroporation, cell fusion, viral delivery, and calcium-phosphate mediated transformation.

15

45

Another method of transmembrane delivery of exogenous molecules, including nucleic acids, has been receptor-mediated endocytosis. This involves conjugating the biological or pharmaceutical agent with a ligand which specifically binds to receptors on a cell

20

50

55

5 membrane. The process of endocytosis is initiated or activated by the  
binding of the ligand to the receptor. Receptor-mediated endocytosis has  
been utilized for delivery of proteins as well as nucleic acids to cells.  
10 Generally, the ligand is chemically conjugated by covalent, ionic or  
5 hydrogen bonding to the exogenous molecule of interest that is still  
recognized in the conjugate by the target receptor. Conjugation of  
15 exogenous molecules of interest to ligand substances having  
corresponding cell surface receptors is described in U.S. patent 5,108,921.  
In particular, the method of the '921 patent relies upon the transmembrane  
10 transport of exogenous materials across a membrane having biotin or  
20 folate receptors that initiate transmembrane transport of receptor bound  
species.

International patent application PCT/US90/01002 (WO  
25 90/104448) of Genentech, Inc., discloses covalent conjugates of  
15 oligonucleotides and lipids for securing transmembrane delivery of the  
oligonucleotide into cells. Examples of such lipids include fatty acids and  
30 esters thereof, glycerides, e.g., triglycerides, glyceryl ethers, phospholipids,  
sphingolipids, fatty alcohols, waxes, terpenes, and steroids. The lipids may  
be naturally derived or synthetically prepared.

20 International patent application PCT/US90/05272 (WO  
35 91/04753) of Cetus Corporation, describes conjugates of antisense  
oligonucleotides and ligand-binding molecules which recognize a cell  
surface receptor. The ligand-binding molecule is a growth factor, an  
40 antibody to a growth factor, or an antibody to a cell surface receptor.

25 U. S. Patent 5,550,111 discloses conjugates of 2', 5'-  
oligoadenylate and an adduct which results in enhanced penetration into  
cells. The adduct may comprise a vitamin selected from those vitamins  
45 which have a corresponding cell receptor on targeted mammalian cells.  
Such vitamins include for example, vitamin B12, biotin, riboflavin or folic  
30 acid. Alternatively, the adduct may comprise a lipophilic molecule or

5 radical, such as an acyl group of the formula  $-OC(CH_2)_xCH_3$ , wherein x is an integer from 1 to 20, preferably from 2 to 14.

10 Frequently, useful effectors of intracellular targets comprise proteinaceous substances such as peptides and polypeptides. For  
5 example, the product of the Bcl-2 gene is known to contribute to neoplastic cell expansion by preventing normal cell turnover caused by physiological cell death mechanisms. The Bcl-2 gene product is an intracellular protein.  
15 Bcl-2 (B cell lymphoma/leukemia 2) was originally identified at the chromosomal breakpoint of t(14;18)-bearing B-cell lymphomas. Bcl-2 is  
20 now known to belong to a growing family of proteins which regulate programmed cell death or apoptosis. The Bcl-2 family includes both death antagonists (Bcl-2, Bcl-x<sub>L</sub>, Bcl-w, Bfl-1, Bcl-11, Mcl-1 and A1) and death agonists (Bax, Bak, Bcl-x<sub>S</sub>, Bad, Bid, Bik and Hrk) (Thompson, *Science*  
25 267:1456-62 (1992); Reed, *J. Cell Biol.* 124:1-6 (1994); Yang *et al.*, *Blood*  
15 88:386-401 (1996)). This family of molecules shares four homologous regions termed Bcl homology (BH) domains BH 1, BH2, BH3, and BH4. All death antagonist members contain the BH4 domain while the agonist members lack BH4. It is known that the BH1 and BH2 domains of the death antagonists such as Bcl-2 are required for these proteins to heterodimerize  
30 with death agonists, such as Bax, and to repress cell death. On the other hand, the BH3 domain of death agonists is required for these proteins to heterodimerize with Bcl-2 and to promote apoptosis.

Programmed cell death or apoptosis plays a fundamental role  
40 in the development and maintenance of cellular homeostasis. Homologous proteins and pathways in apoptosis are found in a wide range of species,  
25 indicating that cellular demise is critical for the life and death cycle of the cell in all organisms. When extracellular stimuli switch on the cell-death signal, the response of the cell to such stimuli is specific for the particular cell type (Bonini *et al.*, *Cell* 72:379-95 (1993)). The pathway to cellular  
45 suicide is controlled by certain checkpoints (Oltvai, *Cell* 79:189-92 (1994)).  
30 The Bcl family proteins, including both antagonists of apoptosis (such as  
50

5 Bcl-2) and agonists of apoptosis (such as Bax), constitute the primary  
checkpoint. As such, the transmission of a cell-death signal can be either  
10 promoted or blocked by the different combinations of the Bcl-2 family  
members. The three-dimensional structure of a death antagonist, Bcl-X<sub>L</sub>,  
5 as determined by X-ray crystallography and NMR spectroscopy, provides  
a structural basis for understanding the biological functions of Bcl-2 family  
15 members and for developing novel therapeutics targeting Bcl-2 mediated  
apoptotic pathways (Muchmore *et al.*, *Nature* 381:335-41 (1996)).

The detailed mechanism of Bcl-2 proteins in mediating  
10 molecular pathways of apoptosis has been the subject of intensive  
20 investigation. It is known that the apoptotic signaling pathway involves the  
activation of caspases which, once activated, cleave several cellular  
substrates such as poly(adenosine diphosphate-ribose) polymerase  
25 (PARP) and lead to final events of apoptosis. Bcl-2 plays a crucial role in  
15 regulating the process of apoptosis. One possible mechanism for Bcl-2  
function is that Bcl-2 inhibits the release of cytochrome c from  
mitochondria. Cytochrome c is important for the activation of caspases.  
30 As such, Bcl-2 blocks caspase activation and subsequent events leading  
to apoptosis.

20 Being able to block apoptosis, Bcl-2 is known to contribute to  
35 neoplastic cell expansion by preventing normal cell turnover caused by  
physiological cell death mechanisms. High levels and aberrant patterns of  
Bcl-2 gene expression are found in a wide variety of human cancers,  
40 including ~30-60% of prostate, ~90% of colorectal, ~60% of gastric, ~20%  
25 of non-small cell lung cancers, ~30% of neuroblastomas, and variable  
percentages of melanomas, renal cell, and thyroid cancers, as well as acute  
and chronic lymphocytic and non-lymphocytic leukemias (Ellis *et al.*, *Cell*  
45 *Biol.* 7, 663 (1991); Henkart, *Immunity* 1, 343 (1994)); Kägi *et al.*, *Science*  
265, 528 (1994); Kägi *et al.*, *Nature* 369, 31 (1994); Heusel *et al.*, *Cell* 76,  
30 977 (1994)).



5 The expression levels of Bcl-2 protein also correlate with  
relative resistance to a wide spectrum of current chemotherapeutic drugs  
and  $\gamma$ -irradiation (Hanada *et al.*, *Cancer Res.* 53:4978-86 (1993); Kitada *et*  
10 *al.*, *Antisense Res. Dev.* 4:71-9 (1994); Miyashita *et al.*, *Cancer Res.*  
5 52:5407-11 (1992); Miyashita *et al.*, *Blood* 81:151-7 (1993)). Since Bcl-2  
can protect against such a wide variety of drugs which have very different  
15 mechanisms of action, it is possible that all these drugs use a common final  
pathway for the eventual induction of cell death which is regulated by Bcl-2.  
This notion is supported by the findings that chemotherapeutic drugs induce  
10 cell death through a mechanism consistent with apoptosis as opposed to  
20 necrosis. Therefore, Bcl-2 can inhibit the cell killing effect of currently  
available anticancer drugs by blocking the apoptotic pathway.

Because of its role in blocking apoptosis, Bcl-2 plays an  
25 important role in many types of cancer. As noted above, Bcl-2 blocks  
15 apoptosis, thereby preventing normal cell turnover. As a result, neoplastic  
cell expansion occurs unimpeded by the normal cellular turnover process.  
Prostate cancer is one particular example where Bcl-2 has important  
30 implication in the pathogenesis and treatment for a disease. Approximately  
100,000 new cases of prostate cancer are diagnosed each year in the  
20 United States and about 30,000 deaths per year are attributable to this  
35 disease (Lynn *et al.*, *JNCI* 87:867 (1995)). It has recently been found that  
hormone therapy-resistant prostate cancers express Bcl-2 (McDonnell *et al.*,  
*Cancer Res.* 52:6940-4 (1992)), while the normal prostate cells from which  
40 prostate cancers originate lack Bcl-2 (Colombel *et al.*, *Am J Pathol* 143:390-  
25 400 (1993)). This indicates that Bcl-2 may protect prostate cancer cells  
from undergoing apoptosis induced by the anticancer drugs, such as taxol  
(Haldar *et al.*, *Cancer Res.*, 56:1235-5 (1996)). The clinical efficacy of  
45 nearly every cytotoxic anticancer drug currently available depends directly  
or indirectly on the assumption that tumor cells grow more rapidly than  
30 normal cells. However, this may not apply to human prostate cancer cells,  
50 which show very slow growth kinetics. Tumor kinetics studies have

5 indicated that prostate cancer may be the consequence of the imbalance in  
cell turnover mechanisms more so than an increase in cell cycle rates.  
Thus, current anticancer drugs may not be effective in eradicating these  
10 nonproliferative prostate cancer cells.

5 The understanding of the biology of Bcl-2 in cancer and  
chemoresistance has opened new avenues in the development of novel  
anticancer strategies. One effective approach to overcome the  
15 chemoresistance of prostate cancers is to inhibit the protective function of  
Bcl-2 proteins. New drugs that modulate Bcl-2 mediated apoptotic response  
10 would represent a novel mechanism-based strategy for the treatment of  
prostate cancers and other cancers. Because the function of Bcl-2 is not  
absolutely necessary in many normal cell types (Veis *et al.*, *Cell*, 75:229-40  
(1993)), a systematic inhibition of Bcl-2 may not affect the normal cellular  
25 function. This notion is supported by recent encouraging data from the  
clinical trial that antisense oligonucleotides targeted against the Bcl-2 gene  
15 can specifically inhibit non-Hodgkin's lymphoma in humans (Webb *et al.*,  
*Lancet* 349:1137-41 (1997)). However, the clinical value of such antisense  
oligonucleotides is limited by their lack of enzymatic stability, cell  
permeability, and oral activity. As discussed above, currently available  
20 anticancer drugs may not be effective due to the chemoresistance of  
prostate cancer cells. Therefore, there is an impending need for highly  
potent, cell permeable, and active Bcl-2 inhibitors as a new generation of  
effective therapeutics for the treatment of prostate cancer, as well as other  
40 cancers.

25 What is needed is methods and agents for enhancing the cell  
uptake of drugs and biological molecules used as drugs, particular  
substances used for regulating apoptosis. In particular, what is needed are  
45 methods and agents for enhancing the uptake of peptides and proteins used  
as inhibitors of intracellular targets, so that these molecules may reach their  
30 intended intracellular targets, such as the Bcl-2 protein.

5

**Summary of the Invention**

10

It is an object of the invention to provide a novel carrier for transporting chemical and biological agents, particularly proteinaceous molecules, across the cell membrane.

5

It is an object of the invention to provide conjugates of a carrier substance and a chemical or biological agent, particularly a peptide agent, for delivery into the interior of a cell.

15

It is an object of the invention to provide novel therapeutics and methods for modulating cell apoptosis, particularly for reversing Bcl-2-mediated blockage of cell apoptosis in cancer cells, virally infected cells and self-reactive lymphocytes.

20

It is an object of the invention to provide agents to overcome Bcl-2-mediated chemoresistance in tumor cells.

25

These and other objects of the invention are apparent from the following description.

15

According to the present invention, a peptide conjugate of formula I

30



is provided wherein:

20

n is from 1 to 10;

35

X is

(a) C=O, when the R-X group is attached to:

40

(i) the N-terminus of the peptide, or

(ii) a side chain of the peptide where the

25

functional group of the side chain to which the R-X group is attached is NH<sub>2</sub> or OH; or

45

(b) O or NH, when the R-X group is attached to

(i) the C-terminus of the peptide, or

50

55

(ii) a side chain of the peptide where the functional group of the side chain to which the R-X group is attached is COOH or CONH<sub>2</sub>; and

R is selected from the group consisting of C<sub>2-18</sub> alkyl; C<sub>2-18</sub> alkoxy; C<sub>2-14</sub> alkylenyl containing one or two double bonds; cyclobutyl; cyclopentyl; cyclohexyl optionally monosubstituted with a C<sub>1-5</sub> straight or branched chain alkyl group; phenyl optionally monosubstituted with a C<sub>1-5</sub> straight or branched chain alkyl group; and benzyl.

In another embodiment of the invention, a method for modulating apoptosis in cells is provided comprising contacting the cells with a conjugate of a molecule which is a modulator of apoptosis and a moiety of the formula II



wherein:

n is from 1 to 10;

X is an atom, chemical bond or chemical group; and

R is as defined above.

When R is alkyl or alkoxy in formulae I or II, the carbon chain may be straight or branched.

Where R is alkyl, it is preferably C<sub>3-18</sub> alkyl. According to another preferred embodiment, R is C<sub>3-6</sub> branched chain alkyl. Where R is alkylenyl, it is preferably C<sub>2-14</sub> alkylenyl containing one double bond or C<sub>4-8</sub> alkylenyl containing two double bonds.

Where R is C<sub>2-14</sub> alkylenyl containing two double bonds, the bonds may be conjugated or separated.

Preferred substituted phenyl moieties include 2-phenylethyl, 3-phenylpropyl, 4-phenylbutyl and 5-phenylpentyl.

5 The peptide moiety of the conjugate of the present invention  
may consist of natural amino acids, or modified or unnatural amino acids.  
The peptide is typically comprised of L-amino acids, but may contain one or  
10 more D-amino acids.

5 The peptide may be linear or cyclic. The bonds resulting in  
cyclization may be between the respective N and C termini of amino acids  
15 (main chain to main chain connection), from the N or C terminus of one  
amino acid to the side chain of another amino acid (main chain to side  
chain), or from the side chain of one amino acid to the side chain of another  
10 amino acid (side chain to side chain connection). The peptide may contain  
a synthetic backbone modification, such as in the case of a peptidomimetic  
or peptoid.

When n is 1 in formula I, the R-X group may reside on the  
25 peptide C-terminus or N-terminus, or may reside on the side-chain of an  
15 amino acid residue. When n is greater than 1 in formula I, the R-X groups  
can be attached on any positions of the peptide. Preferably, the R-X group  
is attached to the N-terminus of the peptide, or to the side chain of an amino  
30 acid residue.

The invention is also directed to a method for enhancing the  
20 cellular uptake of a peptide comprising conjugating said peptide to a carrier  
moiety (R-X)<sub>n</sub>-, to form a conjugate as described above.

In one embodiment, the conjugate comprises a peptide which  
is an inhibitor of the function of an intracellular biological target, such as Bcl-  
2. According to one such embodiment, the peptide binds to the Bcl-2  
40 protein.

25 A method is provided for reversing Bcl-2-mediated blockage  
of apoptosis in cancer cells comprising contacting said cells with a  
conjugate comprising a peptide which is an inhibitor of the function of Bcl-2.

45 A method is provided for treating a subject afflicted with a  
30 cancer characterized by cancer cells which express Bcl-2. The method  
comprises administering to the subject an effective amount of a conjugate

5 which comprises a peptide which is an inhibitor of the function of Bcl-2.

10 In another embodiment of the invention, a conjugate comprises an exogenous molecule, not limited to a peptide, which is a modulator of apoptosis. The modulator is conjugated to a carrier group, (R-  
5 X-)<sub>n</sub>, as defined above. The modulator may comprise any substance which has the effect of either inducing or inhibiting apoptosis in the target cells. The modulator may comprise a peptide, polypeptide, protein,  
15 oligonucleotide, polynucleotide, glycoprotein, oligosaccharide, amino acid, nucleoside, nucleotide, or any other organic molecule which has a modulating effect on apoptosis in cells, particularly cells which are not  
20 otherwise permeable to the modulator, absent conjugation to the carrier (R-X)<sub>n</sub> as described above.

25 By "modulator of apoptosis" is meant a substance which either inhibits or induces apoptosis in a cell. By "apoptosis" or "apoptotic death" is meant the programmed death which results in controlled autodigestion of  
30 the cell, as opposed to necrotic cell death. Apoptotic cell death is characterized by cytoskeletal disruption, cell shrinkage, and membrane blebbing. The nucleus undergoes condensation and nuclear DNA becomes degraded and fragmented. Apoptosis is also characterized by loss of  
35 mitochondrial function. Necrotic cell death, on the other hand, is a pathological form of cell death resulting from acute cellular injury, which is typified by rapid swelling and lysis.

40 According to certain embodiments of the invention, the modulator is an inhibitor of apoptosis, and the target cells induced to  
45 undergo apoptosis comprise cancer cells, virus-infected cells or self-reactive lymphocytes. Thus, the conjugates of the invention may be used to treat cancer, viral infection, or autoimmune disorders.

### Amino Acid Abbreviations

The nomenclature used to describe polypeptide compounds of the present invention follows the conventional practice wherein the amino group is presented to the left and the carboxy group to the right of each amino acid residue. In the formulae representing selected specific embodiments of the present invention, the amino- and carboxy-terminal groups, although not specifically shown, will be understood to be in the form they would assume at physiologic pH values, unless otherwise specified. In the amino acid structure formulae, each residue is generally represented by a three-letter or one letter designation, corresponding to the trivial name of the amino acid, in accordance with the following schedule:

Alanine	Ala	A
Cysteine	Cys	C
Aspartic Acid	Asp	D
Glutamic Acid	Glu	E
Phenylalanine	Phe	F
Glycine	Gly	G
Histidine	His	H
Isoleucine	Ile	I
Lysine	Lys	K
Leucine	Leu	L
Methionine	Met	M
Asparagine	Asn	N
Proline	Pro	P
Glutamine	Gln	Q
Arginine	Arg	R
Serine	Ser	S
Threonine	Thr	T
Valine	Val	V
Tryptophan	Trp	W
Tyrosine	Tyr	Y

### Definitions

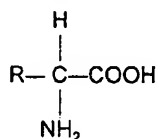
The following definitions, of terms used throughout the specification, are intended as an aid to understanding the scope and practice of the present invention.

A "peptide" is a compound comprised of amino acid residues

covalently linked by peptide bonds. Peptides comprising a large number of amino acids are sometimes called "polypeptides". The expression "peptides" is understood to include "polypeptides" as well as proteins. Further included in the scope of "peptide" as used herein are synthetic variants thereof including various backbone modifications, such as the molecules known as peptidomimetics and peptoids. Further included in the scope of "peptide" as used herein are variants which include alterations of amino acid side chains, including but not limited to attachment of carbohydrate moieties.

The expression "amino acid" as used herein is meant to include both natural and synthetic amino acids, and both D and L amino acids. "Natural amino acid" means any of the twenty primary, naturally occurring amino acids which typically form peptides, polypeptides, and proteins. "Synthetic amino acid" means any other amino acid, regardless of whether it is prepared synthetically or derived from a natural source. As used herein, "synthetic amino acid" also encompasses chemically modified amino acids, including but not limited to salts, derivatives (such as amides), and substitutions. Amino acids contained within the peptides of the present invention, and particularly at the carboxy- or amino-terminus, can be modified by methylation, amidation, acetylation or substitution with other chemical groups which can change the peptide's circulating half life without adversely affecting their activity. Additionally, a disulfide linkage may be present or absent in the peptides of the invention.

Amino acids have the following general structure:



Amino acids are classified into seven groups on the basis of the side chain R: (1) aliphatic side chains, (2) side chains containing a hydroxylic (OH) group, (3) side chains containing sulfur atoms, (4) side chains containing an



5 acidic or amide group, (5) side chains containing a basic group, (6) side  
chains containing an aromatic ring, and (7) proline, an imino acid in which  
10 the side chain is fused to the amino group. The amino acids of the  
peptides described herein and in the appended claims are understood to be  
5 either D or L amino acids with L amino acids being preferred.

#### 15 Description of the Figures

Fig. 1 is a plot of the binding of fluorescein-labeled peptide  
SEQ ID NO:30 (Flu-SEQ ID NO:30) with Bcl-2 protein. Peptide SEQ ID  
20 NO:30 is from the BH3 domain of the human Bak protein.

10 Fig. 2 is a graph of the binding interaction of Flu-SEQ ID  
NO:30 with Bcl-2 protein (A) and other proteins such as Bax (C), the SH3  
domain of the Bcr-Abl oncoprotein (D), and CD4 (E). Fig. 2 also shows the  
25 binding of Bcl-2 protein to two fluorescein-labeled peptides derived from  
CD4 (E and F) and Bcr-Abl SH3 (H). The lack of binding interaction  
15 detected in these control systems (the signals were close to the background  
level of free Flu-SEQ ID NO:30 (B), demonstrates the specificity of the  
30 binding of Flu-SEQ ID NO:30 to Bcl-2.

Fig. 3 is a competition curve for the binding of Flu-SEQ ID  
NO:30 to Bcl-2 protein, in the presence of several peptides derived from  
35 20 BH3 domains of different members of the human Bcl-2 family that bind Bcl-2  
or Bcl-X<sub>L</sub> proteins: SEQ ID NO:1 from BadBH3; SEQ ID NO:32 from  
BaxBH3; and SEQ ID NO:58, a mutant of BakBH3 peptide SEQ ID NO:30  
40 which contains a leucine→alanine substitution.

Fig. 4 represents is a competition binding assay between  
25 peptide decyl-SEQ ID NO:56 and peptide SEQ ID NO:30 for binding GST-  
Bcl-2. An EC<sub>50</sub> of 0.13 μM for decyl-SEQ ID NO:56 binding to Bcl-2 is  
45 determined.

Figs. 5A through 5D show HL-60 cells which have been  
treated with biotin-labeled peptide conjugated to an acyl moiety. Acetyl-  
50 30 SEQ ID NO:55 or decyl-SEQ ID NO:55 was biotin labeled and used to treat

5 HL-60 cells for 5 or 15 minutes. The cells were then fixed in formaldehyde and stained with Streptavidin-Fluorescein. Fig. 5A: 5 min. incubation with acetyl-SEQ ID NO:55-Biotin; Fig. 5B: 5 min. incubation with decyl-SEQ ID  
10 NO:55-Biotin; Fig. 5C: 15 min. incubation with acetyl-SEQ ID NO:55-Biotin; Fig. 5D: 15 min. incubation with decyl-SEQ ID NO:55-Biotin.

Fig. 6 is a DNA fragmentation assay of HL-60 cells transfected to overexpress Bcl-2 and treated with unconjugated peptide SEQ ID NO:56,  
15 carrier (decanoic acid) or carrier-peptide conjugate (decyl-SEQ ID NO:56): lane 0, control; lane 1, decanoic acid; lane 2, unconjugated peptide SEQ ID NO:56; lane 3, decyl-SEQ ID NO:56; and lane 4, a decyl-peptide mutant  
20 differing from SEQ ID NO:56 by two amino acids.

#### **Detailed Description of the Invention**

25 According to the present invention, the cell penetration of peptides and other exogenous molecules is enhanced by conjugation to a carrier group. The carrier enhances the transmembrane transport of the exogenous molecule. The invention is particularly useful for transporting  
30 biologically active molecules which are normally resistant to uptake by normal living cells, such as proteins and polypeptides. The carrier group may be attached to a wide range of molecules, especially a wide range of peptides (inclusive of polypeptides and proteins), by conventional  
35 chemistries. Typically, the conjugate is prepared by forming a covalent bond between the carrier group and the exogenous molecule, such as an amide, ester, ether or other organic chemical bond.

40 According to one preferred embodiment of the invention, the exogenous molecule is a peptide. The peptide is attached to the carrier group through an amino group of a native amino acid in the peptide, or is  
45 attached through the side chain of a lysine amino acid added onto the peptide. It may be appreciated that by providing a linking group -NH-, the carrier may be attached through the carboxylic acid side chain of an aspartic  
30 acid or glutamic acid residue by formation of an amide bond; by providing

5 an oxygen linking group on the carrier, the carrier may be attached to the peptide through the carboxylic acid side chain of an aspartic acid or glutamic acid residue, forming an ester bond.

10 According to one embodiment of the invention, the peptide is  
5 an exogenous peptide which interacts with an intracellular biological target to evoke a biological response. By "biological target" is meant an enzyme, protein, nucleic acid or other biological molecule with which the exogenous peptide directly or indirectly interacts to bring about a change in the cell. Typically, the peptide is an inhibitor of the function of the biological target.  
15 By "intracellular biological target" is meant a biological target which resides substantially inside a cell, as opposed to being resident on the outside of the cell and freely accessible to exogenous chemicals without traversal of the cell membrane. Typically, but not always, the exogenous peptide will exert its effect by binding to the intracellular target inside the cell to evoke the  
20 desired biological response in the cell. Alternatively, the exogenous peptide may act by coming in close proximity with the target inside the cell, or otherwise associate with the target. In any event, the action of the exogenous protein on the biological target requires traversal of the former through the cell membrane in sufficient amount to bring about the intended  
25 biological response.

35 Where the peptide exerts its effect by binding to an intracellular biological target molecule, the dissociation constant of the binding is preferably no more than about 100  $\mu\text{M}$ , more preferably no more than about 10  $\mu\text{M}$ , most preferably no more than about 1  $\mu\text{M}$ .

40 The carrier group of the present invention may be attached to peptides according to well known chemical techniques. According to one preferred method of conjugation, the peptide is synthesized by a solid-phase synthesis technique. Following removal of the Fmoc protecting group under normal conditions, the support-bound peptide is treated with an  
45 appropriate acid anhydride corresponding to the carrier moiety "R" group  
30 (e.g., decanoic anhydride) in dry methylene chloride for 24 hours at room  
50

5 temperature. The reaction solution is removed and the material washed  
with e.g., methylene chloride and *N,N*-dimethylformamide and dried in  
vacuum for 1 hour. The carrier-peptide conjugate is then cleaved from the  
10 support with 95% trifluoroacetic acid for 30-60 minutes and then obtained  
5 following standard work-up.

One intracellular biological target is the Bcl-2 protein. In this  
15 embodiment, the exogenous molecule comprises an inhibitor of Bcl-2  
function. Preferably, the inhibitor is a peptide which binds to Bcl-2 and  
overcomes Bcl-2 antagonism of apoptosis.

10 A three dimensional structure of Bcl-2 was constructed based  
20 on the X-ray and NMR structure of the highly homologous protein Bcl-x<sub>L</sub>  
(>98% sequence homology to BCL-2 in the four functionally important BH  
domains). A hydrophobic binding pocket was found in the structure of Bcl-2  
25 which is formed by the BH1, BH2, and BH3 domains. A highly sensitive  
15 Bcl-2 ligand binding assay was then employed to test peptides for specific  
binding to the hydrophobic surface pocket. This pocket is required for the  
anti-apoptotic function of Bcl-2; a variety of mutations at this site have been  
30 shown to inhibit function of Bcl-2 proteins (Yin *et al.*, *Nature* 369:321-3,  
1994). Peptides which bind the pocket are useful for inhibiting Bcl-2  
20 function.

35 According to one strategy, peptide inhibitors of Bcl-2 function  
are designed based upon the amino acid sequence of known endogenous  
polypeptide inhibitors of Bcl-2. More preferably, the design of the inhibitors  
40 is based upon the BH3 domain of the endogenous polypeptide. The BH3  
25 domain of cell death agonist members of the Bcl-2 superfamily of proteins  
allows these death agonists to heterodimerize with Bcl-2 to promote  
apoptosis (Zha *et al.*, *J. Biol. Chem.* 271:7440-4, 1996; Chittenden *et al.*,  
45 *Embo J.* 14:5589-96, 1995; Boyd *et al.*, *Oncogene* 11:1921-8, 1995).

30 According to this embodiment of the invention, the amino acid  
sequence of the peptide inhibitor of Bcl-2 function is identical to the native  
50 amino acid sequence of a segment of an endogenous polypeptide inhibitor

of Bcl-2, which segment has inhibitory activity to Bcl-2. Alternatively, one or more positions of the corresponding native amino acid sequence of the inhibitory peptide may be substituted with other amino acids. The substitutions preferably comprise conservative amino acid substitutions. A conservative amino acid substitution is a substitution made within a group of amino acids which are categorized based upon the nature of the amino acid side chain. The seven groups are as follows: (1) M, I, L and V; (2) F, Y and W; (3) K, R and H; (4) A and G; (5) S and T; (6) Q and N; (7) E and D. According to one embodiment of the invention, each segment has at least 50%, preferably at least 70%, more preferably at least 80%, most preferably at least 90%, sequence identity with the corresponding native segment of the same length. By "sequence identity" is meant the same amino acids in the same relative positions.

Exemplary peptides for inhibiting the activity of Bcl-2 are listed in Table 1. Smaller peptides are listed in Table 2. Each peptide in Table 1 is a native peptide segment from a Bcl-2 superfamily polypeptide member, homologous to peptide NLWAAQRYGRELRRMSDEFEGSFKGL (SEQ ID NO:1). The latter peptide contains amino acid residues 72-87 from the BH3 domain of the cell death agonist Bad. Each peptide in Table 2 represents a native homolog of the core sequence QRYGRELRRMSDEFEG (SEQ ID NO:28) from peptide SEQ ID NO:1.

**Table 1: Peptides for Inhibiting Bcl-2 Function**

NLWAAQRYGRELRRMSDEFEGSFKGL (SEQ ID NO:1)  
NLWAAQRYGRELRRMSDEFVDSFKKGL (SEQ ID NO:2)  
NLWAAQRYGRELRRMSDEFEGSFKGLP (SEQ ID NO:3)  
PSSTMGQVGRQLAIIGDDINRRYDSEF (SEQ ID NO:4)  
PNSILGQVGRQLALIGDDINRRYDTEF (SEQ ID NO:5)  
QDASTKKLSECLKRIGDELDSNMELQR (SEQ ID NO:6)  
QDASTKKLSECLRRIGDELDSNMELQR (SEQ ID NO:7)

5 LRPAPPGVHLALRQAGDEFSRRYQRDF (SEQ ID NO:8)  
LSPVPPVVHLTLRQAGDDFSRRYRRDF (SEQ ID NO:9)  
LSPVPPCVHLTLRRAGDDFSRRYRRDF (SEQ ID NO:10)  
10 LSPVPPVVHLTLRRAGDDFSRRYRRDF (SEQ ID NO:11)  
5 EIVRASDVRQALRDAGDEFELRYRRAF (SEQ ID NO:12)  
EVIPMAAVKQALREAGDEFELRYRRAF (SEQ ID NO:13)  
15 QEDIIRNIARHLAQVGDSMDRSIPPGL (SEQ ID NO:14)  
QEEIHNHNIARHLAQIGDEMDHNIQPTL (SEQ ID NO:15)  
CMEGSDALALRLACIGDEMDVSLRAPR (SEQ ID NO:16)  
10 RSSAAQLTAARLKALGDELHQRTMWRR (SEQ ID NO:17)  
20 RWAAAQVTALRLQALGDELHRRAMRRR (SEQ ID NO:18)  
DMRPEIWIAQELRRIGDEFNAYYARRV (SEQ ID NO:19)  
LQMLKGEKLQVLKGTGDWWLARS�VTG (SEQ ID NO:20)  
25 PGGRLAEVCTVLLRLGDELEQIRPSVY (SEQ ID NO:21)  
15 DIERRKEVESILKKNSDWIWDWSSRPE (SEQ ID NO:22)  
ISSIGYEIGSKLAAMCDDFDAQMMSYS (SEQ ID NO:23)  
EGPAADPLHQAMRAAGDEFETRFRRTF (SEQ ID NO:24)  
30 SGATSRKALETLLRVGDGVQRNHETVF (SEQ ID NO:25)  
AALPPSATAAELRRAAAELERRERPFF (SEQ ID NO:26)  
20 MFDVEMHTSRDHSSQSEEEVVEGEKEV (SEQ ID NO:27)

Table 2: Peptides for Inhibiting Bcl-2 Function

40 QRYGRELRRMSDEFEG (SEQ ID NO:28)  
QRYGRELRRMSDEFVD (SEQ ID NO:29)  
GQVGRQLAIIGDDINR (SEQ ID NO:30)  
45 25 GQVGRQLALIGDDINR (SEQ ID NO:31)  
KKLSECLKRIGDELDS (SEQ ID NO:32)  
KKLSECLRRIGDELDS (SEQ ID NO:33)  
50 KKLSECLKRIRDELDS (SEQ ID NO:34)

55

5 PGVHLALRQAGDEF SR (SEQ ID NO:35)  
PVVHLTLRQAGDDFSR (SEQ ID NO:36)  
PCVHLTLRRAGDDFSR (SEQ ID NO:37)  
10 PVVHLTLRRAGDDFSR (SEQ ID NO:38)  
5 SDVRQALRDAGDEFEL (SEQ ID NO:39)  
AAVKQALREAGDEFEL (SEQ ID NO:40)  
15 RNIARHLAQVGDSMDR (SEQ ID NO:41)  
HNIARHLAQIGDEMDH (SEQ ID NO:42)  
DALALRLACIGDEMDV (SEQ ID NO:43)  
20 10 QLTAARLKALGDELHQ (SEQ ID NO:44)  
QVTALRLQALGDELHR (SEQ ID NO:45)  
IWIAQELRRIGDEFNA (SEQ ID NO:46)  
GEKLQVLKGTGDWWLA (SEQ ID NO:47)  
25 AEVCTVLLRLGDELEQ (SEQ ID NO:48)  
15 KEVESILKKNSDWIWD (SEQ ID NO:49)  
YEIGSKLAAMCDDFDA (SEQ ID NO:50)  
DPLHQAMRAAGDEFET (SEQ ID NO:51)  
30 RKALETLLRVGDGVQR (SEQ ID NO:52)  
SATAAELRRAAELEL (SEQ ID NO:53)  
20 MHTSRDHSSQSEEEV (SEQ ID NO:54)

35  
According to preferred embodiments of the invention, the  
peptide is selected from the group of peptides of Table 3:

40  
**Table 3: Peptides for Inhibiting Bcl-2 Function**

25 KNLWAAQRYGRELRRMSDEFEGSFKGLK (SEQ ID NO:55)  
45 KNLWAAQRYGRELRRMSDEFEGSFKGL (SEQ ID NO:56)  
NLWAAQRYGRELRRMSDEFEGSFKGL (SEQ ID NO:1)  
KKLSECLKRIGDELDS (SEQ ID NO:32)  
50 KKLSECLKRIRDELDS (SEQ ID NO:34)

55

5

GQVGRQLAIGDDINR (SEQ ID NO:30)

KGQVGRQLAIGDDINR (SEQ ID NO:57)

10

Peptide SEQ ID NOS:1, 30 and 32 comprise the following segments of the BH3 domains of the human Bad, Bak and Bax death agonists:

5

15

SEQ ID NO:1 - Bad amino acids 72-87;

SEQ ID NO:30 - Bak amino acids 72-87; and

SEQ ID NO:32 - Bax amino acids 52-72.

20

It may be appreciated that SEQ ID NOS:55 and 56 represent lysine-extended analogs of SEQ ID NO:1, and that SEQ ID NO:57 represents a lysine-extended analog of SEQ ID NO:30.

25

Analogues of the aforementioned peptides may be prepared wherein a first amino acid is conservatively substituted with a second, different amino acid. Preferably, the substitution is a conservative substitution. In one embodiment, the analog will share at least about 50%, preferably at least 70%, more preferably at least 80%, most preferably at least 90%, sequence identity with a peptide of Table 1 or 2.

30

35

Preferred peptides are characterized by a dissociation constant for Bcl-2 binding of no more than about 100  $\mu$ M, more preferably no more than about 10  $\mu$ M, most preferably no more than about 1  $\mu$ M.

20

40

In preferred conjugates of the invention, R is the group  $\text{CH}_3(\text{CH}_2)_n\text{C}(\text{O})-$  and n is 4, 8, 12 or 16. In preferred peptide conjugates of the invention, R is so selected, and the peptide is selected from Table 3. Particularly preferred are the conjugates designated "octadecyl-SEQ ID NOS:56" and "decyl-SEQ ID NOS:56", which comprise peptide SEQ ID NOS:56 conjugated to  $\text{CH}_3(\text{CH}_2)_{16}\text{C}(\text{O})-$  and  $\text{CH}_3(\text{CH}_2)_8\text{C}(\text{O})-$ , respectively. The connection of the R group is through the N-terminus of the peptide in these conjugates:

25

45

50

55



5

CH<sub>3</sub>(CH<sub>2</sub>)<sub>16</sub>COHN-KNLWAAQRYGRELRRMSDEFEGSFKGL  
(octadecyl-SEQ ID NO:56)

10

CH<sub>3</sub>(CH<sub>2</sub>)<sub>8</sub>COHN-KNLWAAQRYGRELRRMSDEFEGSFKGL  
(decyl-SEQ ID NO:56)

15

5 Peptides which bind the Bcl-2 pocket may be identified by a Bcl-2 competition binding assay. The assay is based on fluorescence polarization. The assay can rapidly measure Bcl-2 receptor-ligand interaction without using filter binding, electrophoresis, or precipitation steps.  
20 Fluorescence polarization gives a direct, instantaneous equilibrium measure of the bound/free ratio between ligand and receptor molecules.

20

25

In order to set up the competition binding assay, the specific binding of a known peptide ligand of the targeted Bcl-2 functional pocket was first demonstrated. The peptide GQVGRQLAIGDDINR (SEQ ID NO:30) is derived from the BH3 domain of the death agonist Bak. It has  
30 been shown in high-resolution X-ray structure to bind strongly to the Bcl-2 pocket (Muchmore *et al.*, *Nature* 381:335-41, 1996; Sattler *et al.*, *Science* 275:983-6, 1997). Peptide SEQ ID NO:30 was synthesized and labeled with a fluorescein tracer (Flu-SEQ ID NO:30). The binding affinity of Flu-SEQ ID NO:30 to the Bcl-2 protein (purified soluble Bcl-2 proteins  
35 purchased from Santa Cruz Biotechnology, Inc., CA) was determined by a saturation experiment. Since the polarization value is derived from the ratio of bound versus free tracer, the lowest concentration of Flu-SEQ ID NO:30 was chosen, such that the concentration would yield a reasonable  
40 fluorescent signal and a stable polarization value. Using a fixed concentration of Flu-SEQ ID NO:30, Bcl-2 protein was titrated at increasing  
45 concentrations to achieve a saturated binding. The binding of the Flu-SEQ ID NO:30 peptide to Bcl-2 protein was measured on a LS-50 luminescence spectrometer equipped with polarizers using a dual path length quartz cell  
50 (500μL) (Perkin-Elmer Corp.). The fluorophore is excited with vertical

55

5 polarized light at 485 nm (excitation slit width 10 nm), and the polarization value of the emitted light is observed through vertical and horizontal polarizers at 520 nm (emission slit width 10 nm).

10 Figure 1 illustrates a nonlinear least-squares fit for a saturation experiment using Flu-SEQ ID NO:30 and Bcl-2 protein in which the Bcl-2 concentration varied from 6nM to 2 $\mu$ M and the Flu-SEQ ID NO:30 concentration remained at 30nM. The dissociation constant  $K_D$  of Flu-SEQ ID NO:30 was determined to be approximately 0.2  $\mu$ M by using a nonlinear least-squares fit and single-site binding mode ( $R^2 = 0.99$ ).

15 The binding affinity was also analyzed by Scatchard analysis. The Scatchard analysis is a standard method for analyzing the equilibrium binding parameters of a labeled molecule with its target protein. The Scatchard plot is sensitive to presence of nonspecific binding, positive or negative cooperativity, and multiple classes of binding sites. The  $K_D$  calculated from the Scatchard plot ( $K_D = 1/\text{slope}$ ), is approximately 0.25  $\mu$ M which is in agreement with the value from dose-response calculation ( $K_D \sim 0.20\mu\text{M}$ ). The data fit best to linear function, indicating a single class of binding site.

20 To further verify the specificity of the interaction of Flu-SEQ ID NO:30 and Bcl-2, a number of control experiments were carried out including measuring the binding of Flu-SEQ ID NO:30 to other proteins such as Bax, the SH3 domain of the Bcr-Abl oncoprotein, and CD4 (Fig. 2: C, D and E, respectively), and measuring the Bcl-2 binding of other Flu-labeled peptides derived from CD4 (Fig. 2: F and G) and Bcr-Abl SH3 (Fig. 2: H).  
25 The lack of binding interaction detected in these control systems (the signals were close to the background level of free Flu-SEQ ID NO:30, Fig. 2: B), demonstrated the specificity of the binding of Flu-SEQ ID NO:30 to Bcl-2 (Fig. 2: A).

30 To verify this test protocol, competition binding studies were performed with several peptides derived from different human BH3 domains of the Bcl-2 family that have been reported to bind Bcl-2 or Bcl-X<sub>L</sub> proteins.

5 These test peptides included the peptide  
 NLWAAQRYGRELRRMSDEFEGSFKGL (SEQ ID NO:1), which was derived  
 from the BH3 domain of the death agonist Bad (BadBH3) and found to be  
 10 necessary and sufficient to bind the death antagonist Bcl-X<sub>L</sub> (Kelkar *et al.*,  
 5 *Mol. Cell. Biol.* 17:7040-6, 1997); the peptide KKLSECLKRIGDELDS (SEQ  
 ID NO:32) derived from the BH3 domain of the human Bax protein  
 (BaxBH3); and GQVGRQAALIGDDINR (SEQ ID NO:58), a mutant of the  
 15 BakBH3 peptide SEQ ID NO:30 containing a leucine→alanine substitution.  
 As shown in Fig. 3, a clear dose-dependent inhibition of Bcl-2 binding was  
 20 observed for the BaxBH3 (SEQ ID NO:32), BakBH3 (SEQ ID NO:30) and  
 BadBH3 (SEQ ID NO:1) peptides. The BadBH3 peptide (SEQ ID NO:1)  
 showed the strongest competition to Flu-SEQ ID NO:30 binding while  
 BaxBH3 (SEQ ID NO:32) and BakBH3 (SEQ ID NO:30) showed weaker  
 25 activity. The control mutant peptide SEQ ID NO:58 had no significant effect.  
 15 These results were consistent with those previously reported by other  
 groups employing different binding methods.

30 Using the fluoresceinated BakBH3 peptide Flu-SEQ ID NO:30  
 as a specific probe, a competition binding protocol was set up for other  
 peptide and non-peptide organic ligands of Bcl-2. The competition format  
 20 utilized fixed concentrations of Flu-SEQ ID NO:30 and Bcl-2 proteins (30nM  
 and 0.55μM, respectively), with increasing concentrations of inhibitory  
 35 peptides or organic compounds added to generate inhibition curves. The  
 binding equation proposed by Weinhold *et al.*, *J. Am. Chem. Soc.* 114:9270-  
 9275, 1992, was used to derive the dissociation constant  $K_D$  of an inhibitor  
 40 from its competition inhibition curve,  
 25

$$[Inhibitor] = \frac{K_D}{K_L} \left[ [Bcl-2] x \left( \frac{A_n - A}{A - A_F} \right) - [Flu - SQ30] x \left( \frac{A_n - A}{A_B - A_F} \right) \right] - K_D$$

45 wherein  $[Inhibitor]$ ,  $[Bcl-2]$ , and  $[SQ30]$  are the concentrations of inhibitor,  
 Bcl-2 protein and Flu-SEQ ID NO:30, respectively;  $K_L$  is the dissociation  
 50 constant of Flu-SEQ ID NO:30;  $A$  is the observed fluorescence anisotropy,  
 55

5  $A = 2P/(3 - P)$ , where  $P$  is the observed fluorescence polarization values; and  
10  $A_B$  and  $A_F$  are fluorescence anisotropy values when all of the Flu-SEQ ID  
NO:30 peptide is either bound to the Bcl-2 protein ( $A_B$ ) or free in solution  
( $A_F$ ). The  $K_D$  value is adjusted by a factor of 5 as suggested by others for  
5 fluorescence polarization-based assays.

15 The binding of the Bcl-2 inhibitor conjugate decyl-SEQ ID  
NO:56 to Bcl-2 was then determined using the competition binding assay  
with peptide Flu-SEQ ID NO:30. The results are shown in Fig. 4. The  $EC_{50}$   
for the Bcl-2 binding of decyl-SEQ ID NO:56 was 0.13  $\mu M$ , indicating strong  
10 binding.

20 The cell permeability of a conjugate may be verified by directly  
or indirectly labeling the conjugate with a detectable label which may be  
visualized inside a cell with the aid of microscopy. For example, a  
25 biotinylated derivative of the conjugate may be made by methods well  
15 known to those skilled in the art for conjugating biotin molecules to peptides.  
The biotinylated conjugate is incubated with the relevant target cells in vitro.  
The cells are harvested and fixed, then stained with Streptavidin-fluorescein  
30 and observed in the dark under confocal microscopy. Internalization of the  
exogenous molecule to which the carrier is conjugated is apparent by  
20 fluorescence.

35 The ability of conjugates of Bcl-2 inhibitors to reverse the cell  
death antagonism of Bcl-2 and thereby induce cell apoptosis may be  
determined by the following apoptosis assay. The assay relies on DNA  
40 fragmentation as an indicator of apoptosis. Cells of a variant of HL-60 are  
25 incubated with carrier-conjugated inhibitor (e.g., carrier-conjugated peptide  
inhibitor) at 50  $\mu M$  for two hours. The DNA of the cells is then isolated by  
conventional techniques and analyzed for fragmentation on 2% agarose  
45 gels containing 0.2  $\mu g/ml$  ethidium bromide.

50 For peptide conjugates of the present invention, the peptide  
30 portion may be a recombinant peptide, a natural peptide, or a synthetic  
peptide. The peptide may also be chemically synthesized, using, for

5 example, solid phase synthesis methods.

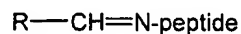
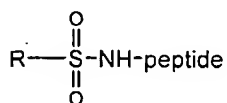
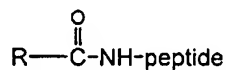
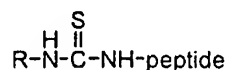
10 In conventional solution phase peptide synthesis, the peptide chain can be prepared by a series of coupling reactions in which the constituent amino acids are added to the growing peptide chain in the  
5 desired sequence. The use of various N-protecting groups, e.g., the carbobenzyloxy group or the t-butyloxycarbonyl group, various coupling reagents (e.g., dicyclohexylcarbodiimide or carbonyldimidazole, various  
15 active esters, e.g., esters of N-hydroxyphthalimide or N-hydroxy-succinimide, and the various cleavage reagents, e.g., trifluoroacetic acid (TEA), HCl in dioxane, boron tris-(trifluoroacetate) and cyanogen bromide,  
20 and reaction in solution with isolation and purification of intermediates is well-known classical peptide methodology. The preferred peptide synthesis method follows conventional Merrifield solid-phase procedures. See Merrifield, *J. Amer. Chem. Soc.* 85:2149-54 (1963) and *Science* 50:178-85  
25 (1965). Additional information about the solid phase synthesis procedure can be had by reference to the treatise by Steward and Young (*Solid Phase Peptide Synthesis*, W.H. Freeman & Co., San Francisco, 1969, and the review chapter by Merrifield in *Advances in Enzymology* 32:221-296, F.F. Nold, Ed., Interscience Publishers, New York, 1969; and Erickson and  
30 Merrifield, *The Proteins* 2:255 et seq. (ea. Neurath and Hill), Academic Press, New York, 1976. The synthesis of peptides by solution methods is described in Neurath *et al.*, eds. (*The Proteins*, Vol. II, 3d Ed., Academic Press, NY (1976)).

40 Crude peptides may be purified using preparative high performance liquid chromatography. The amino terminus may be blocked  
25 according, for example, to the methods described by Yang *et al.* (*FEBS Lett.* 272:61-64 (1990)).

45 Peptide synthesis includes both manual and automated techniques employing commercially available peptide synthesizers. The  
30 peptides described herein may be prepared by chemical synthesis and biological activity can be tested using the methods disclosed herein.  
50

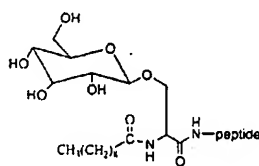
The peptides described herein may be synthesized in a manner such that one or more of the bonds linking amino acid residues are non-peptide bonds. These non-peptide bonds may be formed by chemical reactions well known to those skilled in the art. In yet another embodiment of the invention, peptides comprising the sequences described above may be synthesized with additional chemical groups present at their amino and/or carboxy termini, such that, for example, the stability, bio-availability, and/or inhibitory activity of the peptides is enhanced. For example, hydrophobic groups such as carbobenzoxy, dansyl, or t-butyloxycarbonyl groups, may be added to the peptides' amino terminus. Likewise, an acetyl group or a 9-fluorenylmethoxy-carbonyl group may be placed at the peptides' amino terminus. Additionally, the hydrophobic group, t-butyloxycarbonyl, or an amido group, may be added to the peptides' carboxy terminus.

The peptides may be labeled, for further use as biomedical reagents or clinical diagnostic reagents. For example, a peptide of the invention can be conjugated with a fluorescent reagent, such as a fluorescein isothiocyanate (FITC), tetramethylrhodamine isothiocyanate (TRITC), or other fluorescent. The fluorescent reagent may be coupled to the peptide through the peptide N-terminus or free amine side chains by any one of the following chemistries, where R is the fluorescent reagent:



Alternatively, the peptide may be radiolabeled by peptide radiolabeling techniques well-known to those skilled in the art.

In addition to the R-X groups of formulae I and II, the peptides may be engineered to contain additional functional groups to promote cell uptake. For example, carbohydrate moieties such as glucose or xylose may be attached to the peptide, such as by attachment to the hydroxyl function of a serine or threonine amino acid of the peptide. One such conjugate has the structure:



According to one preferred embodiment, the peptide in the conjugate is SEQ ID NO:1.

Further, the peptides of the invention may be synthesized such that their steric configuration is altered. For example, the D-isomer of one or more of the amino acid residues of the peptide may be used, rather than the usual L-isomer. Still further, at least one of the amino acid residues of the peptide may be substituted by one of the well known non-naturally occurring amino acid residues. Alterations such as these may serve to increase the stability, bioavailability and/or inhibitory action of the peptides of the invention.

Alternatively, the peptides may be prepared utilizing recombinant DNA technology, which comprises combining a nucleic acid encoding the peptide thereof in a suitable vector, inserting the resulting vector into a suitable host cell, recovering the peptide produced by the resulting host cell, and purifying the polypeptide recovered. The techniques of recombinant DNA technology are known to those of ordinary skill in the art. General methods for the cloning and expression of recombinant molecules are described in Maniatis (*Molecular Cloning*, Cold Spring Harbor Laboratories, 1982), and in Sambrook (*Molecular Cloning*, Cold Spring

5 Harbor Laboratories, Second Ed., 1989), and in Ausubel (*Current Protocols in Molecular Biology*, Wiley and Sons, 1987), which are incorporated by reference.

10 In some embodiments, the peptide conjugates of the present invention may be used in the form of a pharmaceutically acceptable salt.

15 Suitable acids which are capable of forming salts include inorganic acids such as hydrochloric acid, hydrobromic acid, perchloric acid, nitric acid, thiocyanic acid, sulfuric acid, phosphoric acid and the like; and organic acids such as formic acid, acetic acid, propionic acid, glycolic acid, 20 lactic acid, pyruvic acid, oxalic acid, malonic acid, succinic acid, maleic acid, fumaric acid, anthranilic acid, cinnamic acid, naphthalene sulfonic acid, sulfanilic acid and the like.

25 Suitable bases capable of forming salts include inorganic bases such as sodium hydroxide, ammonium hydroxide, potassium hydroxide and the like; and organic bases such as mono-, di- and tri-alkyl 15 and aryl amines (e.g., triethylamine, diisopropyl amine, methyl amine, dimethyl amine and the like) and optionally substituted ethanol-amines (e.g., 30 ethanolamine, diethanolamine and the like).

35 In one embodiment, the present invention provides methods for treating cancer by inducing apoptosis of cancer cells in an afflicted individual. Accordingly, one or more conjugates of the invention comprising 40 an inducer of apoptosis targeting an intracellular death antagonist (e.g., Bcl-2 protein) is administered to a patient in need of such treatment. A therapeutically effective amount of the drug may be administered as a 25 composition in combination with a pharmaceutical vehicle. In other embodiments of the invention the apoptosis modulator of the carrier conjugate targets a death antagonist associated with virally infected cells or 45 self-reacting lymphocytes to comprise a treatment for viral infection or autoimmune disease.

30 In particular, conjugates comprising inhibitors of Bcl-2 function 50 may be used to treat any condition characterized by the accumulation of



5 cells which are regulated by Bcl-2. By "regulated by Bcl-2" with respect to  
the condition of a cell is meant that the balance between cell proliferation  
and apoptotic cell death is controlled, at least in part, by Bcl-2. For the most  
10 part, the cells express or overexpress Bcl-2. Enhancement of Bcl-2  
5 expression has been demonstrated to increase the resistance of cells to  
almost any apoptotic signal (Hockenbery *et al.*, *Nature* 348, 334 (1990);  
Nuñez *et al.*, *Immunol.* 144, 3602 (1990); Vaux *et al.*, *Nature* 335, 440  
15 (1988); Hockenbery *et al.*, *Cell* 75, 241 (1993); Ohmori *et al.*, *Res. Commun.*  
192, 30 (1993); Lotem *et al.*, *Cell Growth Differ* 4, 41 (1993); Miyashita *et al.*,  
20 *Blood* 81, 115 (1993); Minn *et al.*). Principally, the proliferative  
disorders associated with the inhibition of cell apoptosis include cancer,  
autoimmune disorders and viral infections. Overexpression of Bcl-2  
specifically prevents cells from initiating apoptosis in response to a number  
25 of stimuli (Hockenbery *et al.*, *Nature* 348, 334 (1990); Nunez *et al.*, *J.*  
15 *Immunol.* 144, 3602 (1990); Vaux *et al.*, *Nature* 335, 440 (1988);  
Hockenbery *et al.*, *Cell* 75, 241 (1993)). The induction of genes that inhibit  
Bcl-2 can induce apoptosis in a wide variety of tumor types, suggesting that  
30 many tumors continually rely on Bcl-2 or related gene products to prevent  
cell death. Bcl-2 expression has been associated with a poor prognosis in  
20 at least prostatic cancer, colon cancer and neuroblastoma (McDonnell *et al.*,  
35 *Cancer Res.* 52, 6940 (1992); Hague *et al.*, *Oncogene* 9, 3367 (1994);  
Castle *et al.*, *Am. J. Pathol.* 143, 1543 (1993)). Bcl-2 or the related gene  
Bcl<sub>x</sub> has been found to confer resistance to cell death in response to several  
40 chemotherapeutic agents (Ohmori *et al.*, *Res. Commun.* 192, 30 (1993);  
25 Lotem *et al.*, *Cell Growth Differ* 4, 41 (1993); Miyashita *et al.*, *Blood* 81, 115  
(1993); Minn *et al.*)).

45 Physiologic cell death is important for the removal of  
potentially autoreactive lymphocytes during development and for the  
removal of excess cells after the completion of an immune response.  
30 Failure to remove these cells can result in autoimmune disease. A lupus-  
50 like autoimmune disease has been reported in transgenic mice constitutively

5 overexpressing Bcl-2 in their B cells (Strasser *et al.*, *Proc. Natl. Acad. Sci. USA* 88, 8661 (1991)). Linkage analysis has established an association  
10 between the Bcl-2 locus and autoimmune diabetes in non-obese diabetic mice (Garchon *et al.*, *Eur. J. Immunol.* 24, 380 (1994)). The conjugates of  
5 the invention which comprise inhibitors of Bcl-2 function may be used to induce apoptosis of self-reactive lymphocytes. By "self-reactive" is meant  
15 a lymphocyte which participates in an immune response against antigens of host cells or host tissues.

Conjugates comprising inhibitors of Bcl-2 function may be  
10 used in the treatment of viral infection, to induce apoptosis of virally infected cells. Viruses have developed mechanisms to circumvent the normal  
20 regulation of apoptosis in virus-infected cells, and these mechanisms have implicated Bcl-2. For example, the E1B 19-kDa protein is instrumental in  
25 the establishment of effective adenoviral infection. The apoptosis-blocking ability of E1B can be replaced in adenoviruses by Bcl-2 (Boyd *et al.*, *Cell* 79,  
15 341 (1994)). Genes of certain other viruses have been shown to have sequence and functional homology to Bcl-2 (Neilan *et al.*, *J. Virol.* 67, 4391  
30 (1993); Henderson *et al.*, *Proc. Natl. Acad. Sci. U.S.A.* 90, 8479 (1993)). The viral gene LMP-1 specifically upregulates Bcl-2 providing a survival  
20 advantage over latently infected cells (Henderson *et al.*, *Cell* 65, 1107 (1991)). Sindbis infection is dependent on the host cell's expression of Bcl-  
35 2 (Levine *et al.*, *Nature* 361,739 (1993)).

In another embodiment, the present invention provides  
40 methods for treating disorders characterized by increased apoptosis. In such cases the conjugate of the invention comprises the carrier moiety and  
25 an exogenous molecule which is an inhibitor of apoptosis. Such disorders characterized by undesirable apoptosis include, for example,  
45 neurodegenerative disorders, AIDS, stroke, and myocardial infarction.

For a review of apoptosis in the pathogenesis of disease, see  
30 Thompson, *Science* 267:1456-1462 (1995), the entire disclosure of which is incorporated herein by reference.  
50

5 Pharmaceutically acceptable vehicles for delivery of the  
conjugates of the invention include physiologically tolerable or acceptable  
diluent, excipients, solvents, or adjuvants, for parenteral injection, for  
10 intranasal or sublingual delivery, for oral administration, for rectal or topical  
administration or the like. The compositions are preferably sterile and  
nonpyrogenic. Examples of suitable carriers include but are not limited to  
15 water, saline, dextrose, mannitol, lactose, or other sugars, lecithin, albumin,  
sodium glutamate cysteine hydrochloride, ethanol, polyols (propyleneglycol,  
ethylene, polyethyleneglycol, glycerol, and the like), vegetable oils (such as  
20 olive oil), injectable organic esters such as ethyl oleate, ethoxylated  
isosteraryl alcohols, polyoxyethylene sorbitol and sorbitan esters,  
microcrystalline cellulose, aluminum methahydroxide, bentonite, agar-agar  
and tragacanth, or mixtures of these substances, and the like.

25 The pharmaceutical compositions may also contain minor  
15 amounts of nontoxic auxiliary substances such as wetting agents,  
emulsifying agents, pH buffering agents, antibacterial and antifungal agents  
(such as parabens, chlorobutanol, phenol, sorbic acid, and the like). If  
30 desired, absorption enhancing or delaying agents (such as liposomes,  
aluminum monostearate, or gelatin) may be used. The compositions can  
20 be prepared in conventional forms, either as liquid solutions or suspensions,  
35 solid forms suitable for solution or suspension in liquid prior to injection, or  
as emulsions.

40 Compositions containing the carrier conjugates of the  
invention may be administered by any convenient route which will result in  
25 delivery of the conjugate to cells expressing the intracellular target. Modes  
of administration include, for example, orally, rectally, parenterally  
(intravenously, intramuscularly, intraarterially, or subcutaneously),  
45 intracisternally, intravaginally, intraperitoneally, locally (powders, ointments  
or drops), or as a buccal nasal spray or aerosol.

30 The pharmaceutical compositions are most effectively  
50 administered parenterally, preferably intravenously or subcutaneously. For

5 intravenous administration, they may be dissolved in any appropriate  
intravenous delivery vehicle containing physiologically compatible  
substances, such as sodium chloride, glycine, and the like, having a  
10 buffered pH compatible with physiologic conditions. Such intravenous  
5 delivery vehicles are known to those skilled in the art. In a preferred  
embodiment, the vehicle is a sterile saline solution. If the peptides are  
sufficiently small, other preferred routes of administration are intranasal,  
15 sublingual, and the like. Intravenous or subcutaneous administration may  
comprise, for example, injection or infusion.

20 The conjugates of the present invention may be administered  
to treat cancer, for example. The effective amount and method of  
administration will vary based upon the sex, age, weight and disease stage  
of the patient, whether the administration is therapeutic or prophylactic, and  
25 other factors apparent to those skilled in the art. Based upon the in vitro  
15 studies described herein, a suitable dosage is a dosage which will attain a  
tissue concentration of from about 1 to about 100  $\mu$ M, more preferably from  
about 10 to about 75  $\mu$ M. It is contemplated that lower or higher  
30 concentrations would also be effective. The tissue concentration may be  
derived from peptide conjugate blood levels.

20 Such a dosage may comprise, for example, from about 30 to  
35 about 80 mg/kg.

According to one preferred embodiment of the invention, the  
conjugates of the invention which comprise inhibitors of Bcl-2 can be  
40 administered as therapeutics to treat any condition which is characterized  
25 by the biological function of Bcl-2, as discussed above. In particular, the  
Bcl-2 inhibitory conjugates may be used to treat cancer, in particular  
cancers characterized by high levels and/or aberrant patterns of Bcl-2 gene  
45 expression. Such increased or aberrant expression is found in a  
substantial portion of all prostate, colorectal, gastric, non-small lung, renal  
30 and thyroid cancers, as well as neuroblastomas, melanomas, and acute and  
chronic lymphocytic and non-lymphocytic leukemias. In particular the Bcl-2  
50

5 inhibitory conjugates may be administered in circumstances where the  
underlying cancer resists treatment with other chemotherapeutics or  
irradiation, due to the action of Bcl-2 blocking apoptosis. According to one  
10 preferred embodiment of the invention, a Bcl-2 inhibitory conjugate is used  
5 to treat prostate cancer.

Those skilled in the art will derive appropriate dosages and  
schedules of administration to suit the specific circumstances and needs of  
15 the patient. Doses are contemplated on the order of from about 1 to about  
500, preferably from about 10 to about 100, most preferably from about 30  
20 to about 80, mg/kg of body weight. The conjugate may be administered by  
injection daily, over a course of therapy lasting two to three weeks, for  
example. Alternatively, the agent may be administered by continuous  
infusion, such as via an implanted subcutaneous pumps., as is well-known  
25 in cancer therapy.

15 Conjugates according to the present invention may be labeled  
with a fluorescent, radiographic or other visually detectable label and utilized  
30 *in vitro* studies to identify cells expressing an intracellular target, or to  
identify the location of the target inside of such cells. For example, a  
conjugate of the invention may be synthesized with an attached biotin  
20 molecule and incubated with cells suspected of expressing the target. The  
cells are then incubated with streptavidin-fluorescein. Cells expressing the  
35 intracellular target will bind the biotin conjugate, and the streptavidin-  
fluorescein complex. The result is a pattern of fluoresce inside the cell. In  
particular, a peptide conjugate of the present invention which binds the Bcl-  
40 2 protein may be utilized to identify tumor cells which express Bcl-2  
25 expression. Assessment of Bcl-2 expression has prognostic value, as  
tumors expressing high to high levels of Bcl-2 are likely to be  
45 chemoresistant and/or radiation resistant.

The practice of the invention is illustrated by the following non-  
50 30 limiting examples.

5

**Example 1****Fluorescence Polarization Binding Assay of Peptide Conjugate Decyl-SEQ ID NO:56 to Bcl-2**

10

5 The binding of the peptide conjugate decyl-SEQ ID NO:56 to the Bcl-2 protein was assayed by the following competition assay. Thirty nm of fluorescein-labeled peptide GQVGRQLAIGDDINR (Flu-SEQ ID NO:30) was incubated with 0.5  $\mu$ M of GST-Bcl-2 protein. The following micromolar concentrations of decyl-K1285 were then incubated with the mixture for 30 minutes at 37°C (0.005, 0.01, 0.02, 0.04 0.08 0.16 and 0.32). The values of the binding competition were recorded (Fig. 4) and the EC50 for decyl-SEQ ID NO:56 binding to GST-Bcl-2 was calculated by the PRISMS program as 0.13  $\mu$ M.

15

20

25

**Example 2****Cellular Uptake of Peptide Conjugate Decyl-SEQ ID NO:55**

15 The cellular uptake of the peptide conjugate decyl-SEQ ID NO:55 was determined as follows. Peptide SEQ ID NO:55 is identical to peptide SEQ ID NO:56, except for the addition of a C-terminal lysine residue to permit attachment of biotin through the lysine amino side chain.

30

35

20 The SEQ ID NO:55 peptide was synthesized by a solid-phase synthesis technique. Following removal of the Fmoc protecting group under normal conditions, the support-bound peptide was treated with decanoic anhydride in dry methylene chloride for 24 hours at room temperature. The reaction solution was removed and the material washed with methylene chloride and *N,N*-dimethylformamide and dried in vacuum for 1 hour. After the removal of the protecting group on the C-terminal lysine, the support-bound decyl peptide was treated with biotin in the presence of 2% hydrazine in *N*-methylpyrrolidone and 2-(1H-benzotriazole-1-yl)-1,1,3,3-tetramethyluronium hexafluorophosphate. The resulting biotinylated decyl peptide was then cleaved from the support with 95% trifluoroacetic acid for 30-60 minutes. The final product (decyl-SEQ ID

40

45

50

55

NO:55-biotin) was obtained after final work-up as a white solid. In similar fashion, a biotinylated acetyl peptide was prepared (acetyl-SEQ ID NO:55-biotin).

HL-60 cells were incubated with either decyl-SEQ ID NO:55-biotin or acetyl-SEQ ID NO:55-biotin for 5 or 15 minutes at 37°C. The cells were harvested, washed in PBS and fixed in 4% formaldehyde. An aliquot of the fixed cells was smeared on a slide and air dried. The slides were stained with Streptavidin-fluorescein and photographed in the dark under confocal microscopy.

The results are shown in Figs. 5A-5D. Fig. 5A: acetyl-SEQ ID NO:55-biotin, 5 minutes incubation; Fig. 5B: decyl-SEQ ID NO:55-biotin, 5 minutes incubation; Fig. 5C: acetyl-SEQ ID NO:55-biotin, 15 minutes incubation; and Fig. 5D: decyl-SEQ ID NO:55-biotin, 15 minutes incubation.

Internalization of the peptide in the cells in Figs. 5B and 5D is apparent by the fluorescence around the cells.

### Example 3

#### **Apoptosis of HL-60 Cells by Peptide Conjugate Decyl-SEQ ID NO:56**

DNA fragmentation is an important and characteristic marker of apoptosis. DNA fragmentation was analyzed in cells treated with the peptide conjugate N-decyl-SEQ ID NO:56. The cells comprised a variant of the human myeloid leukemia HL-60 cell line transfected with Bcl-2 to overexpress Bcl-2 (Liu *et al.*, *Cell* 86:147-57, 1996). Cells of the parent line are sensitive to 50  $\mu$ M of the apoptosis-inducing drug etoposide. The Bcl-2-transfected line is resistant to the same concentration of drug, indicating that Bcl-2 blocked apoptosis by the drug.

The Bcl-2-transfected HL-60 cells were incubated for 2 hours with 50  $\mu$ M of either control (no compound), decanoic acid, unconjugated peptide SEQ ID NO:56, decyl-SEQ ID NO:56, or a decyl-SEQ ID NO:56 mutant in which two amino acid residues of the SEQ ID NO:56 peptide were replaced with alanine. The treated cells were then washed in PBS, lysed in

5 digestion buffer (100 mM NaCl, 10 mM Tris-Cl, pH8, 25 mM EDTA, pH 8,  
0.5% SDS, 0.1 mg/ml proteinase K), and incubated overnight at 50°C. The  
10 samples were extracted three times with phenol-chloroform, precipitated  
with an equal volume isopropanol, and spun down for 15 minutes in a  
5 microcentrifuge at room temperature. The DNA precipitate was washed  
once with 70% ethanol and resuspended in TE buffer containing 200 µg/ml  
15 DNase-free RNase A (Boehringer Mannheim, Indianapolis, IN). After  
incubation at 37°C for 30 min., the DNA was loaded into a 2% agarose mini-  
gel with 0.2 µg/ml ethidium bromide, and electrophoresis was run at 50 V  
10 for 2 hours in 0.5 x TBE buffer. The gel was destained with water for 1 hour  
20 and photographed under UV light. DNA markers were phiX174 DNA with  
restriction endonuclease Hae III (Boehringer Mannheim).

The results are shown in Fig. 6: lane 0, control; lane 1,  
25 decanoic acid; lane 2, unconjugated peptide SEQ ID NO:56; lane 3, decyl-  
15 SEQ ID NO:56; and lane 4, decyl-SEQ ID NO:56 mutant. DNA  
fragmentation was observed in the decyl-SEQ ID NO:56-treated cells only,  
again demonstrating the effect of the carrier-peptide conjugates of the  
30 invention in penetrating cells and inducing apoptosis of cells regulated by  
Bcl-2.

35 20 All references discussed herein are incorporated by reference.  
One skilled in the art will readily appreciate that the present invention is well  
adapted to carry out the objects and obtain the ends and advantages  
40 mentioned, as well as those inherent therein. The present invention may be  
embodied in other specific forms without departing from the spirit or  
25 essential attributes thereof and, accordingly, reference should be made to  
the appended claims, rather than to the foregoing specification, as indicating  
45 the scope of the invention.



## Claims

5

10

15

20

25

30

35

40

45

50

55

5

CLAIMS

1. A peptide conjugate of the formula I



10

wherein:

n is from 1 to 10;

15

X is

(a) C=O, when the R-X group is attached to:

(i) the N-terminus of the peptide, or

(ii) a side chain of the peptide where the

20

functional group of the side chain to which the R-X group is attached is NH<sub>2</sub> or OH; or

(b) O or NH, when the R-X group is attached to

25

(i) the C-terminus of the peptide, or

(ii) a side chain of the peptide where the

functional group of the side chain to which the R-X group is attached is COOH or CONH<sub>2</sub>; and

30

R is selected from the group consisting of C<sub>2-18</sub> alkyl; C<sub>2-18</sub> alkoxy; C<sub>2-14</sub> alkylenyl containing one or two double bonds; cyclobutyl; cyclopentyl; cyclohexyl optionally monosubstituted with a C<sub>1-5</sub> straight or branched chain alkyl group; phenyl optionally monosubstituted with a C<sub>1-5</sub> straight or branched chain alkyl group; and benzyl.

35

40

2. A peptide conjugate according to claim 1 wherein n is

1, 2 or 3.

45

3. A peptide conjugate according to claim 1 wherein m is

3 to 20.

50

4. A peptide conjugate according to claim 1 wherein R is

55

5

C<sub>3-18</sub> alkyl.

10

5. A peptide conjugate according to claim 4 wherein R is  
C<sub>3-6</sub> branched chain alkyl.

15

6. A peptide conjugate according to claim 1 wherein R is  
C<sub>2-14</sub> alkylenyl containing one double bond.

20

7. A peptide conjugate according to claim 1 wherein R is  
C<sub>4-8</sub> alkylenyl containing two double bonds.

25

8. A peptide conjugate according to claim 1 wherein the  
peptide contains at least one D-amino acid.

30

9. A peptide conjugate according to claim 1 wherein the  
peptide is a modulator of apoptosis.

35

10. A peptide conjugate according to claim 9 wherein the  
peptide is an inhibitor of apoptosis.

40

11. A peptide conjugate according to claim 9 wherein the  
peptide is an inducer of apoptosis.

45

12. A peptide conjugate according to claim 1 wherein the  
peptide is an inhibitor of the function of an intracellular biological target.

50

13. A peptide conjugate according to claim 12 wherein the  
peptide is an inhibitor of the function of Bcl-2.

55

14. A peptide conjugate according to claim 13 wherein the  
peptide binds to the Bcl-2 protein.

5

15. A peptide conjugate according to claim 14 wherein the dissociation constant of the peptide for the Bcl-2 protein is no more than about 100  $\mu$ M.

10

16. A peptide conjugate according to claim 15 wherein the dissociation constant of the peptide for the Bcl-2 protein is no more than about 10  $\mu$ M.

15

17. A peptide conjugate according to claim 16 wherein the dissociation constant of the peptide for the Bcl-2 protein is no more than about 1  $\mu$ M.

20

18. A peptide conjugate according to claim 13 wherein the peptide is selected from the group consisting of SEQ ID NO:1 through SEQ ID NO:57, and analogs of such peptides wherein one amino acid is conservatively substituted with another, different amino acid:

25

30

19. A peptide conjugate according to claim 18 wherein the peptide is selected from the group consisting of SEQ ID NO:1, SEQ ID NO:30, SEQ ID NO:32, SEQ ID NO:34, SEQ ID NO:55, SEQ ID NO:56 and SEQ ID NO:57.

35

20. A peptide conjugate according to claim 19 of the formula  $\text{CH}_3(\text{CH}_2)_n\text{C(O-peptide)}$  wherein n is from 4 to 16.

40

21. The peptide conjugate of claim 20 selected from the group consisting of  $\text{CH}_3(\text{CH}_2)_{16}\text{COHN-SEQ ID NO:56}$  and  $\text{CH}_3(\text{CH}_2)_8\text{COHN-SEQ ID NO:56}$ .

45

22. A pharmaceutical composition comprising a pharmaceutical vehicle and a peptide conjugate according to claim 1.

50

55

5

23. A method for enhancing the cellular uptake of a peptide comprising conjugating said peptide to a carrier moiety  $(R-X)_n$ , to form a conjugate according to claim 1, wherein R, X and n are defined as in claim 1.

10

15

24. A method according to claim 23 wherein the peptide is an inhibitor of the function of an intracellular biological target.

20

25. A method according to claim 24 wherein the peptide is an inhibitor of the function of Bcl-2.

25

26. A method for modulating apoptosis in cells of a subject comprising administering to the subject an effective amount of a peptide conjugate according to claim 1 wherein the peptide is a modulator of apoptosis.

30

27. A method according to claim 26 wherein the peptide is an inhibitor of apoptosis.

35

28. A method according to claim 26 wherein the peptide is an inducer of apoptosis.

40

29. A method according to claim 28 wherein the cells induced to undergo apoptosis comprise cancer cells.

45

30. A method according to claim 28 wherein the cells induced to undergo apoptosis comprise virus-infected cells.

50

31. A method according to claim 28 wherein the cells induced to undergo apoptosis comprise self-reactive lymphocytes.

55

5

32. A method according to claim 26 wherein the peptide is an inhibitor of Bcl-2 function.

10

33. A method of reversing Bcl-2-mediated blockage of apoptosis in cancer cells comprising contacting said cells with a peptide conjugate according to claim 13.

15

34. A method for treating a subject afflicted with a cancer characterized by cancer cells which express Bcl-2 comprising administering to the subject an effective amount of a peptide conjugate according to claim 13.

20

25

35. A method according to claim 34 wherein the cancer is selected from the group of cancers consisting of prostate, colorectal, gastric, non-small lung, renal and thyroid cancers, neuroblastoma, melanoma, and acute and chronic lymphocytic and non-lymphocytic leukemia.

30

36. A method for modulating apoptosis in cells comprising contacting the cells with a conjugate of a molecule which is a modulator of apoptosis and a chemical group of the formula

35



wherein:

n is from 1 to 10;

40

X is an atom, chemical bond or chemical group; and

45

R is selected from the group consisting of C<sub>2-18</sub> alkyl; C<sub>2-18</sub> alkoxy; C<sub>2-14</sub> alkylenyl containing one or two double bonds; cyclobutyl; cyclopentyl; cyclohexyl optionally monosubstituted with a C<sub>1-5</sub> straight or branched chain alkyl group; phenyl optionally monosubstituted with a C<sub>1-5</sub> straight or branched chain alkyl group; and benzyl.

50

55

5

37. A method according to claim 36 wherein n is 1, 2 or 3.

10

38. A method according to claim 36 wherein X is selected from the group consisting of C=O, O and NH.

15

39. A method according to claim 36 wherein the modulator is an inhibitor of apoptosis.

20

40. A method according to claim 36 wherein the modulator is an inducer of apoptosis.

25

41. A method according to claim 40 wherein the cells induced to undergo apoptosis comprise cancer cells, virus-infected cells or self-reactive lymphocytes.

30

42. A method according to claim 40 wherein the modulator is an inhibitor of Bcl-2 function.

35

40

45

50

55

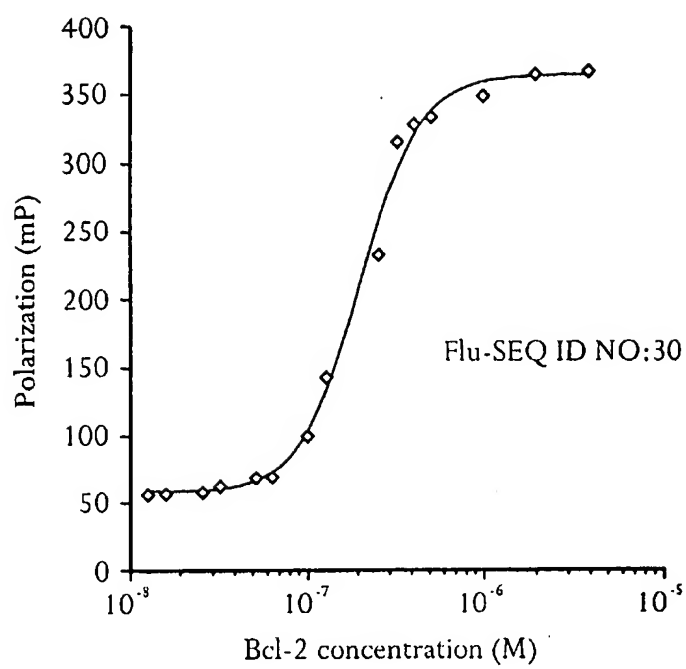


FIG. 1



2/6

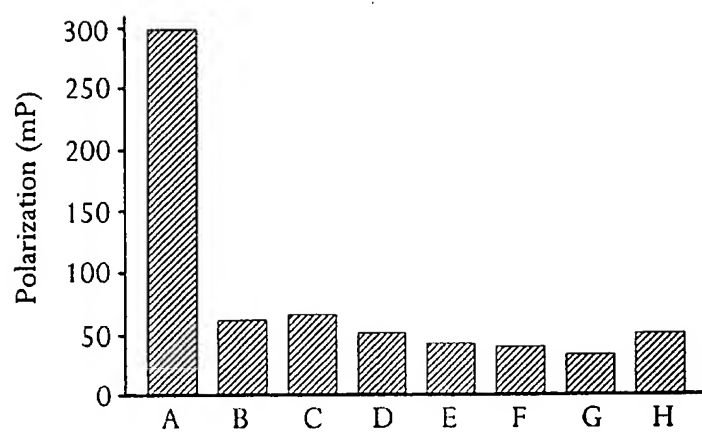


FIG. 2

3/6

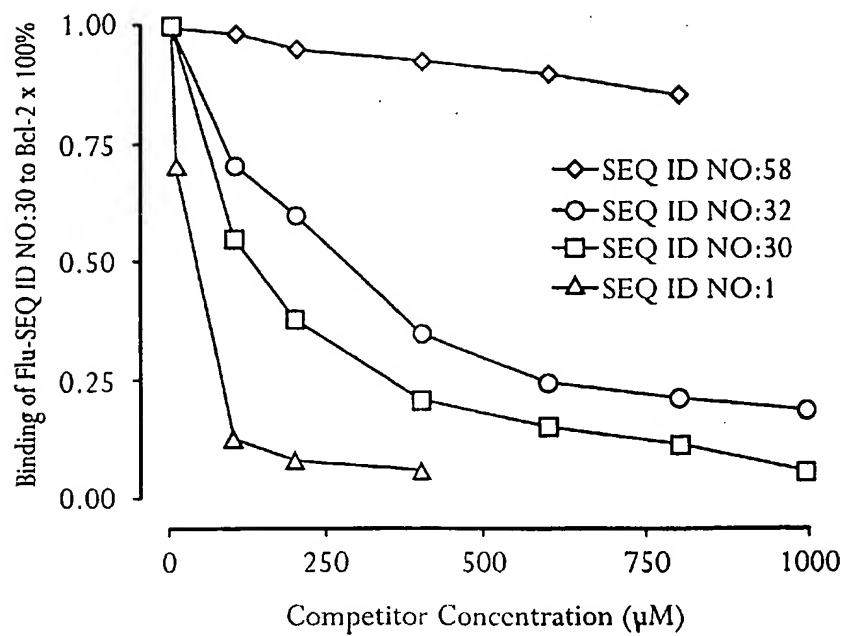


FIG. 3

4/6

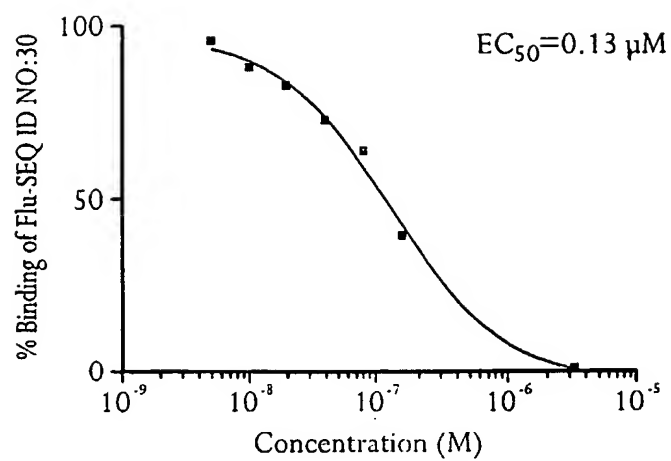


FIG. 4



FIG. 5A

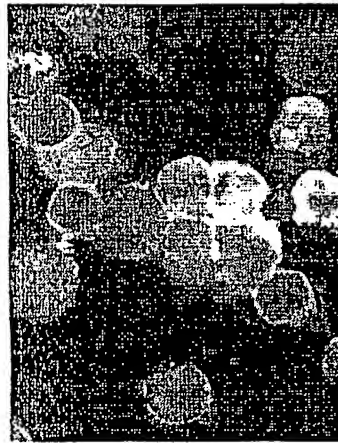
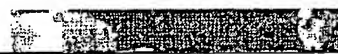


FIG. 5B



6/6

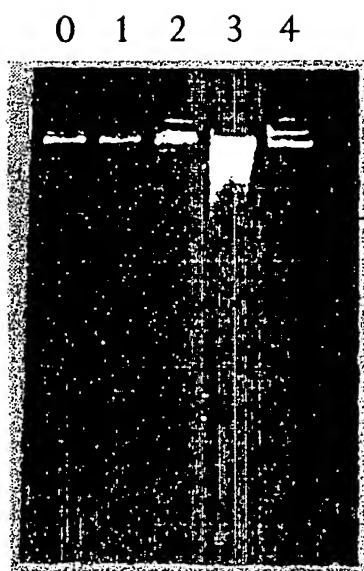


FIG. 6

## SEQUENCE LISTING

<110> Thomas Jefferson University

<120> Enhancement of Peptide Cellular Uptake

<130> 8321-68 PC

<140>

<141>

<150> 60/128,202

<151> 1999-04-07

<160> 58

<170> PatentIn Ver. 2.1

<210> 1

<211> 26

<212> PRT

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 1

Asn	Leu	Trp	Ala	Ala	Gln	Arg	Tyr	Gly	Arg	Glu	Leu	Arg	Arg	Met	Ser
1				5					10					15	
Asp	Glu	Phe	Glu	Gly	Ser	Phe	Lys	Gly	Leu						
			20					25							

<210> 2

<211> 26

<212> PRT

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 2

Asn	Leu	Trp	Ala	Ala	Gln	Arg	Tyr	Gly	Arg	Glu	Leu	Arg	Arg	Met	Ser
-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----

1	5	10	15
Asp Glu Phe Glu Gly Ser Phe Lys Gly Leu			
20	25		

<210> 3  
 <211> 27  
 <212> PRT  
 <213> Artificial Sequence

<220>  
 <223> Description of Artificial Sequence: Peptide  
 segment from BH3 domain of a Bcl-2 superfamily  
 polypeptide

<400> 3			
Asn	Leu	Trp	Ala
Ala	Gln	Arg	Tyr
Gly	Arg	Glu	Leu
Arg	Arg	Met	Ser
1	5	10	15

Asp Glu Phe Glu Gly Ser Phe Lys Gly Leu Pro			
20	25		

<210> 4  
 <211> 27  
 <212> PRT  
 <213> Artificial Sequence

<220>  
 <223> Description of Artificial Sequence: Peptide  
 segment from BH3 domain of a Bcl-2 superfamily  
 polypeptide

<400> 4			
Pro	Ser	Ser	Thr
Met	Gly	Gln	Val
Gly	Arg	Gln	Leu
Ala	Ile	Ile	Gly
1	5	10	15

Asp Asp Ile Asn Arg Arg Tyr Asp Ser Glu Phe			
20	25		

<210> 5  
 <211> 27  
 <212> PRT  
 <213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 5

Pro Asn Ser Ile Leu Gly Gln Val Gly Arg Gln Leu Ala Leu Ile Gly  
1 5 10 15

Asp Asp Ile Asn Arg Arg Tyr Asp Thr Glu Phe  
20 25

<210> 6

<211> 27

<212> PRT

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 6

Gln Asp Ala Ser Thr Lys Lys Leu Ser Glu Cys Leu Lys Arg Ile Gly  
1 5 10 15

Asp Glu Leu Asp Ser Asn Met Glu Leu Gln Arg  
20 25

<210> 7

<211> 27

<212> PRT

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 7

Gln Asp Ala Ser Thr Lys Lys Leu Ser Glu Cys Leu Arg Arg Ile Gly  
1 5 10 15

Asp Glu Leu Asp Ser Asn Met Glu Leu Gln Arg  
20 25



<210> 8  
<211> 27  
<212> PRT  
<213> Artificial Sequence

<220>  
<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 8  
Leu Arg Pro Ala Pro Pro Gly Val His Leu Ala Leu Arg Gln Ala Gly  
1 5 10 15  
Asp Glu Phe Ser Arg Arg Tyr Gln Arg Asp Phe  
20 25

<210> 9  
<211> 27  
<212> PRT  
<213> Artificial Sequence

<220>  
<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 9  
Leu Ser Pro Val Pro Pro Val Val His Leu Thr Leu Arg Gln Ala Gly  
1 5 10 15  
Asp Asp Phe Ser Arg Arg Tyr Arg Arg Asp Phe  
20 25

<210> 10  
<211> 27  
<212> PRT  
<213> Artificial Sequence

<220>  
<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 10  
Leu Ser Pro Val Pro Pro Cys Val His Leu Thr Leu Arg Arg Ala Gly

1 5 10 15

Asp Asp Phe Ser Arg Arg Tyr Arg Arg Asp Phe  
20 25

<210> 11  
<211> 27  
<212> PRT  
<213> Artificial Sequence

<220>  
<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 11  
Leu Ser Pro Val Pro Pro Val Val His Leu Thr Leu Arg Arg Ala Gly  
1 5 10 15

Asp Asp Phe Ser Arg Arg Tyr Arg Arg Asp Phe  
20 25

<210> 12  
<211> 27  
<212> PRT  
<213> Artificial Sequence

<220>  
<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 12  
Glu Ile Val Arg Ala Ser Asp Val Arg Gln Ala Leu Arg Asp Ala Gly  
1 5 10 15

Asp Glu Phe Glu Leu Arg Tyr Arg Arg Ala Phe  
20 25

<210> 13  
<211> 27  
<212> PRT  
<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 13

Glu Val Ile Pro Met Ala Ala Val Lys Gln Ala Leu Arg Glu Ala Gly  
1 5 10 15

Asp Glu Phe Glu Leu Arg Tyr Arg Arg Ala Phe  
20 25

<210> 14

<211> 27

<212> PRT

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 14

Gln Glu Asp Ile Ile Arg Asn Ile Ala Arg His Leu Ala Gln Val Gly  
1 5 10 15

Asp Ser Met Asp Arg Ser Ile Pro Pro Gly Leu  
20 25

<210> 15

<211> 27

<212> PRT

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 15

Gln Glu Glu Ile Ile His Asn Ile Ala Arg His Leu Ala Gln Ile Gly  
1 5 10 15

Asp Glu Met Asp His Asn Ile Gln Pro Thr Leu  
20 25

<210> 16  
<211> 27  
<212> PRT  
<213> Artificial Sequence

<220>  
<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 16  
Cys Met Glu Gly Ser Asp Ala Leu Ala Leu Arg Leu Ala Cys Ile Gly  
1 5 10 15  
Asp Glu Met Asp Val Ser Leu Arg Ala Pro Arg  
20 25

<210> 17  
<211> 27  
<212> PRT  
<213> Artificial Sequence

<220>  
<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 17  
Arg Ser Ser Ala Ala Gln Leu Thr Ala Ala Arg Leu Lys Ala Leu Gly  
1 5 10 15  
Asp Glu Leu His Gln Arg Thr Met Trp Arg Arg  
20 25

<210> 18  
<211> 27  
<212> PRT  
<213> Artificial Sequence

<220>  
<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 18  
Arg Trp Ala Ala Ala Gln Val Thr Ala Leu Arg Leu Gln Ala Leu Gly

1	5	10	15
Asp Glu Leu His Arg Arg Ala Met Arg Arg Arg			
20	25		

<210> 19  
 <211> 27  
 <212> PRT  
 <213> Artificial Sequence

<220>  
 <223> Description of Artificial Sequence: Peptide  
 segment from BH3 domain of a Bcl-2 superfamily  
 polypeptide

<400> 19
Asp Met Arg Pro Glu Ile Trp Ile Ala Gln Glu Leu Arg Arg Ile Gly
1 5 10 15

Asp Glu Phe Asn Ala Tyr Tyr Ala Arg Arg Val
20 25

<210> 20  
 <211> 27  
 <212> PRT  
 <213> Artificial Sequence

<220>  
 <223> Description of Artificial Sequence: Peptide  
 segment from BH3 domain of a Bcl-2 superfamily  
 polypeptide

<400> 20
Leu Gln Met Leu Lys Gly Glu Lys Leu Gln Val Leu Lys Gly Thr Gly
1 5 10 15

Asp Trp Trp Leu Ala Arg Ser Leu Val Thr Gly
20 25

<210> 21  
 <211> 27  
 <212> PRT  
 <213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 21

Pro Gly Gly Arg Leu Ala Glu Val Cys Thr Val Leu Leu Arg Leu Gly  
1 5 10 15

Asp Glu Leu Glu Gln Ile Arg Pro Ser Val Tyr  
20 25

<210> 22

<211> 27

<212> PRT

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 22

Asp Ile Glu Arg Arg Lys Glu Val Glu Ser Ile Leu Lys Lys Asn Ser  
1 5 10 15

Asp Trp Ile Trp Asp Trp Ser Ser Arg Pro Glu  
20 25

<210> 23

<211> 27

<212> PRT

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 23

Ile Ser Ser Ile Gly Tyr Glu Ile Gly Ser Lys Leu Ala Ala Met Cys  
1 5 10 15

Asp Asp Phe Asp Ala Gln Met Met Ser Tyr Ser  
20 25

<210> 24  
<211> 27  
<212> PRT  
<213> Artificial Sequence

<220>  
<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 24  
Glu Gly Pro Ala Ala Asp Pro Leu His Gln Ala Met Arg Ala Ala Gly  
1 5 10 15  
Asp Glu Phe Glu Thr Arg Phe Arg Arg Thr Phe  
20 25

<210> 25  
<211> 27  
<212> PRT  
<213> Artificial Sequence

<220>  
<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 25  
Ser Gly Ala Thr Ser Arg Lys Ala Leu Glu Thr Leu Arg Arg Val Gly  
1 5 10 15  
Asp Gly Val Gln Arg Asn His Glu Thr Val Phe  
20 25

<210> 26  
<211> 27  
<212> PRT  
<213> Artificial Sequence

<220>  
<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 26  
Ala Ala Leu Pro Pro Ser Ala Thr Ala Ala Glu Leu Arg Arg Ala Ala

1 5 10 15

Ala Glu Leu Glu Arg Arg Glu Arg Pro Phe Phe  
20 25

<210> 27  
 <211> 27  
 <212> PRT  
 <213> Artificial Sequence

<220>  
 <223> Description of Artificial Sequence: Peptide  
 segment from BH3 domain of a Bcl-2 superfamily  
 polypeptide

<400> 27  
 Met Phe Asp Val Glu Met His Thr Ser Arg Asp His Ser Ser Gln Ser  
1 5 10 15

Glu Glu Glu Val Val Glu Gly Glu Lys Glu Val  
20 25

<210> 28  
 <211> 16  
 <212> PRT  
 <213> Artificial Sequence

<220>  
 <223> Description of Artificial Sequence: Peptide  
 segment from BH3 domain of a Bcl-2 superfamily  
 polypeptide

<400> 28  
 Gln Arg Tyr Gly Arg Glu Leu Arg Arg Met Ser Asp Glu Phe Glu Gly  
1 5 10 15

<210> 29  
 <211> 16  
 <212> PRT  
 <213> Artificial Sequence

<220>  
 <223> Description of Artificial Sequence: Peptide  
 segment from BH3 domain of a Bcl-2 superfamily  
 polypeptide



&lt;400&gt; 29

Gln Arg Tyr Gly Arg Gln Leu Arg Arg Met Ser Asp Glu Phe Val Asp  
 1 5 10 15

&lt;210&gt; 30

&lt;211&gt; 16

&lt;212&gt; PRT

&lt;213&gt; Artificial Sequence

&lt;220&gt;

<223> Description of Artificial Sequence: Peptide  
 segment from BH3 domain of a Bcl-2 superfamily  
 polypeptide

&lt;400&gt; 30

Gly Gln Val Gly Arg Gln Leu Ala Ile Ile Gly Asp Asp Ile Asn Arg  
 1 5 10 15

&lt;210&gt; 31

&lt;211&gt; 16

&lt;212&gt; PRT

&lt;213&gt; Artificial Sequence

&lt;220&gt;

<223> Description of Artificial Sequence: Peptide  
 segment from BH3 domain of a Bcl-2 superfamily  
 polypeptide

&lt;400&gt; 31

Gly Gln Val Gly Arg Gln Leu Ala Leu Ile Gly Asp Asp Ile Asn Arg  
 1 5 10 15

&lt;210&gt; 32

&lt;211&gt; 16

&lt;212&gt; PRT

&lt;213&gt; Artificial Sequence

&lt;220&gt;

<223> Description of Artificial Sequence: Peptide  
 segment from BH3 domain of a Bcl-2 superfamily  
 polypeptide

&lt;400&gt; 32

Lys Lys Leu Ser Glu Cys Leu Lys Arg Ile Gly Asp Glu Leu Asp Ser

1

5

10

15

&lt;210&gt; 33

&lt;211&gt; 16

&lt;212&gt; PRT

&lt;213&gt; Artificial Sequence

&lt;220&gt;

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

&lt;400&gt; 33

Lys Lys Leu Ser Glu Cys Leu Arg Arg Ile Gly Asp Glu Leu Asp Ser  
1 5 10 15

&lt;210&gt; 34

&lt;211&gt; 16

&lt;212&gt; PRT

&lt;213&gt; Artificial Sequence

&lt;220&gt;

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

&lt;400&gt; 34

Lys Lys Leu Ser Glu Cys Leu Lys Arg Ile Arg Asp Glu Leu Asp Ser  
1 5 10 15

&lt;210&gt; 35

&lt;211&gt; 16

&lt;212&gt; PRT

&lt;213&gt; Artificial Sequence

&lt;220&gt;

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

&lt;400&gt; 35

Pro Gly Val His Leu Ala Leu Arg Gln Ala Gly Asp Glu Phe Ser Arg  
1 5 10 15

<210> 36  
<211> 16  
<212> PRT  
<213> Artificial Sequence

<220>  
<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 36  
Pro Val Val His Leu Thr Leu Arg Gln Ala Gly Asp Asp Phe Ser Arg  
1 5 10 15

<210> 37  
<211> 16  
<212> PRT  
<213> Artificial Sequence

<220>  
<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 37  
Pro Cys Val His Leu Thr Leu Arg Arg Ala Gly Asp Asp Phe Ser Arg  
1 5 10 15

<210> 38  
<211> 16  
<212> PRT  
<213> Artificial Sequence

<220>  
<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 38  
Pro Val Val His Leu Thr Leu Arg Arg Ala Gly Asp Asp Phe Ser Arg  
1 5 10 15

<210> 39  
<211> 16  
<212> PRT

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Description of  
Artificial Sequence : Peptide segment from BH3  
domain of a Bcl-2 superfamily polypeptide

<400> 39

Ser Asp Val Arg Gln Ala Leu Arg Asp Ala Gly Asp Glu Phe Glu Leu  
1 5 10 15

<210> 40

<211> 16

<212> PRT

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 40

Ala Ala Val Lys Gln Ala Leu Arg Glu Ala Gly Asp Glu Phe Glu Leu  
1 5 10 15

<210> 41

<211> 16

<212> PRT

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 41

Arg Asn Ile Ala Arg His Leu Ala Gln Val Gly Asp Ser Met Asp Arg  
1 5 10 15

<210> 42

<211> 16

<212> PRT

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 42

His Asn Ile Ala Arg His Leu Ala Gln Ile Gly Asp Glu Met Asp His  
1 5 10 15

<210> 43

<211> 16

<212> PRT

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 43

Asp Ala Leu Ala Leu Arg Leu Ala Cys Ile Gly Asp Glu Met Asp Val  
1 5 10 15

<210> 44

<211> 16

<212> PRT

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 44

Gln Leu Thr Ala Ala Arg Leu Lys Ala Leu Gly Asp Glu Leu His Gln  
1 5 10 15

<210> 45

<211> 16

<212> PRT

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

&lt;400&gt; 45

Gln Val Thr Ala Leu Arg Leu Gln Ala Leu Gly Asp Glu Leu His Arg  
1 5 10 15

&lt;210&gt; 46

&lt;211&gt; 16

&lt;212&gt; PRT

&lt;213&gt; Artificial Sequence

&lt;220&gt;

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

&lt;400&gt; 46

Ile Trp Ile Ala Gln Glu Leu Arg Arg Ile Gly Asp Glu Phe Asn Ala  
1 5 10 15

&lt;210&gt; 47

&lt;211&gt; 16

&lt;212&gt; PRT

&lt;213&gt; Artificial Sequence

&lt;220&gt;

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

&lt;400&gt; 47

Gly Glu Lys Leu Gln Val Leu Lys Gly Thr Gly Asp Trp Trp Leu Ala  
1 5 10 15

&lt;210&gt; 48

&lt;211&gt; 16

&lt;212&gt; PRT

&lt;213&gt; Artificial Sequence

&lt;220&gt;

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

&lt;400&gt; 48

Ala Glu Val Cys Thr Val Leu Leu Arg Leu Gly Asp Glu Leu Glu Gln

1

5

10

15

&lt;210&gt; 49

&lt;211&gt; 16

&lt;212&gt; PRT

&lt;213&gt; Artificial Sequence

&lt;220&gt;

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

&lt;400&gt; 49

Lys Glu Val Glu Ser Ile Leu Lys Lys Asn Ser Asp Trp Ile Trp Asp  
1 5 10 15

&lt;210&gt; 50

&lt;211&gt; 16

&lt;212&gt; PRT

&lt;213&gt; Artificial Sequence

&lt;220&gt;

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

&lt;400&gt; 50

Tyr Glu Ile Gly Ser Lys Leu Ala Ala Met Cys Asp Asp Phe Asp Ala  
1 5 10 15

&lt;210&gt; 51

&lt;211&gt; 16

&lt;212&gt; PRT

&lt;213&gt; Artificial Sequence

&lt;220&gt;

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

&lt;400&gt; 51

Asp Pro Leu His Gln Ala Met Arg Ala Ala Gly Asp Glu Phe Glu Thr  
1 5 10 15

<210> 52  
<211> 16  
<212> PRT  
<213> Artificial Sequence

<220>  
<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 52  
Arg Lys Ala Leu Glu Thr Leu Arg Arg Val Gly Asp Gly Val Gln Arg  
1 5 10 15

<210> 53  
<211> 16  
<212> PRT  
<213> Artificial Sequence

<220>  
<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 53  
Ser Ala Thr Ala Glu Leu Arg Arg Ala Ala Glu Leu Glu Arg  
1 5 10 15

<210> 54  
<211> 16  
<212> PRT  
<213> Artificial Sequence

<220>  
<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 54  
Met His Thr Ser Arg Asp His Ser Ser Gln Ser Glu Glu Glu Val Val  
1 5 10 15

<210> 55  
<211> 28  
<212> PRT



<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide segment  
from BH3 domain of a Bcl-2 superfamily polypeptide

<400> 55

Lys Asn Leu Trp Ala Ala Gln Arg Tyr Gly Arg Glu Leu Arg Arg Met  
1 5 10 15

Ser Asp Glu Phe Glu Gly Ser Phe Lys Gly Leu Lys  
20 25

<210> 56

<211> 27

<212> PRT

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 56

Lys Asn Leu Trp Ala Ala Gln Arg Tyr Gly Arg Glu Leu Arg Arg Met  
1 5 10 15

Ser Asp Glu Phe Glu Gly Ser Phe Lys Gly Leu  
20 25

<210> 57

<211> 17

<212> PRT

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Peptide  
segment from BH3 domain of a Bcl-2 superfamily  
polypeptide

<400> 57

Lys Gly Gln Val Gly Arg Gln Leu Ala Ile Ile Gly Asp Asp Ile Asn  
1 5 10 15

Arg

<210> 58  
<211> 16  
<212> PRT  
<213> Artificial Sequence

<220>

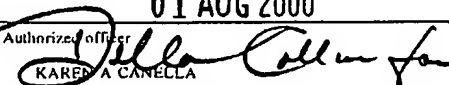
<223> Description of Artificial Sequence: Leu to Ala  
mutant of SEQ ID NO:30

<400> 58

Gly	Gln	Val	Gly	Arg	Gln	Ala	Ala	Ile	Ile	Gly	Asp	Asp	Ile	Asn	Arg
1				5				10					15		

## INTERNATIONAL SEARCH REPORT

 International application No.  
PCT/US00/09352

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC(7) : A61K 38/00 US CL : 514/2 According to International Patent Classification (IPC) or to both national classification and IPC																						
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) U.S. : 514/2 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Medline, Biosis Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) STN chemical structure search, Oxford Molecular LTD protein search																						
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>																						
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.																				
X	SWAAN et al. Enhanced Transepithelial Transport of Peptides by Conjugation to Cholic Acid. Bioconjugate Chemistry. July-August 1997. Vol. 8. pages 520-525. See entire document.	1, 2, 4, 5, 12, 23, 24																				
X	LEE et al. Involvement of oxidation in LDL-induced collagen gene regulation in mesangial cells. Kidney International. November 1996. Vol. 50. No. 5. pages 1582-1590. See entire document.	1, 2, 4, 12, 23, 24																				
X	GASTMAN et al. Caspase-mediated Degradation of T-Cell Receptor Zeta-Chain. Cancer Research. 01 April 1999. Vol 59. pages 1422-1427. See entire document.	1, 2, 9, 10, 12, 23, 24, 27, 36-39																				
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.																						
Special categories of cited documents: <table border="0"> <tr> <td>*A*</td> <td>document defining the general state of the art which is not considered to be of particular relevance</td> <td>*I*</td> <td>later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</td> </tr> <tr> <td>*E*</td> <td>earlier document published on or after the international filing date</td> <td>*X*</td> <td>document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</td> </tr> <tr> <td>*L*</td> <td>document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</td> <td>*Y*</td> <td>document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</td> </tr> <tr> <td>*O*</td> <td>document referring to an oral disclosure, use, exhibition or other means</td> <td>*A*</td> <td>document member of the same patent family</td> </tr> <tr> <td>*P*</td> <td>document published prior to the international filing date but later than the priority date claimed</td> <td></td> <td></td> </tr> </table>			*A*	document defining the general state of the art which is not considered to be of particular relevance	*I*	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention	*E*	earlier document published on or after the international filing date	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone	*L*	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art	*O*	document referring to an oral disclosure, use, exhibition or other means	*A*	document member of the same patent family	*P*	document published prior to the international filing date but later than the priority date claimed		
*A*	document defining the general state of the art which is not considered to be of particular relevance	*I*	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention																			
*E*	earlier document published on or after the international filing date	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone																			
*L*	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art																			
*O*	document referring to an oral disclosure, use, exhibition or other means	*A*	document member of the same patent family																			
*P*	document published prior to the international filing date but later than the priority date claimed																					
Date of the actual completion of the international search 01 JULY 2000		Date of mailing of the international search report 01 AUG 2000																				
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230		Authorized officer  KAREN A CANELLA Telephone No. (703) 308-1235																				

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/09352

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	ZHU et al. Preparation of Vitamin B6-Conjugated Peptides at the Amino Terminus and of Vitamin B6-Peptide-Oligonucleotide Conjugates. July-August 1994. Vol 5. No 4. pages 312-315.	1, 2, 23 --- 9, 11-14, 18, 19, 24-26, 28-42
X --- Y	HUSSAIN et al. Synthesis and Structure Elucidation of the Gamma-Aminobutyric Acid Conjugates with Lipidic Acids, Lipidic Amino Acids and Lipidic Peptides. Liebigs Ann Chem. 1991. Vol 9. pages 963-966. See entire document.	1, 3, 4 --- 9, 11-14, 18-21, 24-26, 28-42
X --- Y	TOTH et al. Oral absorption of lipidic amino acid conjugates. International Journal of Pharmaceutics. 1994. Vol 102. No 1-3. pages 223-230. See entire document.	1-4 --- 9, 11-14, 18, 19, 24-26, 28-42
Y	KIEHNTOPF et al. CELL-PERMEABLE PEPTIDES COVERING THE NIP-RECOGNITION SITE OF BCL-2 AND BCL-2 SPECIFIC HAMMERHEAD RIBOZYMES RESTORE SENSITIVITY OF MULTIPLE MYELOMA CELLS TO GLUCOCORTICOID-INDUCED APOPTOSIS. Abstract NO.573, Experimental Hematology. 1995. Vol 23. No 8. page 905.	9, 11-14, 24-26, 28, 29, 32-35
Y	KLEHNTOPF et al. RESISTANCE OF MULTIPLE MYELOMA CELLS TO GLUCOCORTICOID-INDUCED APOPTOSIS IS RESTORED BY CELL-PERMEABLE PEPTIDES TARGETING FUNCTIONAL DOMAINS OF BCL-2. Abstract.NO 193, Onkologie. 1995. Vol 18. Suppl 2. page 65.	9, 11-14, 25, 26, 28, 29, 32-42
Y	LIU et al. THYMIC PEPTIDES INDUCE APOPTOSIS IN UNDIFFERENTIATED CANCER CELLS. Abstract. NO.1483, FASEB Journal. 1994. Vol 8. No 4-5. page A773.	9, 11, 26, 28, 29, 40, 41
Y	WELSH et al. Role of Apoptosis in the Regulation of Virus-Induced T Cell Responses, Immune Suppression, and Memory. Journal of Cellular Biochemistry. October 1995. Vol 59. No 2. pages 135-142. See entire document.	28, 30, 31
Y	RESNICOFF et al. A Novel class of Peptides That Induce Apoptosis and Abrogate Tumorigenesis in Vivo. Biochem Biophys Res Commun. November 1997. Vol 240. No 1. pages 208-212. See entire document.	28, 29, 36, 41
Y	YOO et al. Apoptosis in Human Leukemic Cells Induced by Lactoferricin, a Bovine Milk Protein-Derived Peptide: Involvement of Reactive Oxygen Species. Biochem Biophys Res Commun. August 1997. Vol 237. No 3. pages 624-628. See entire document.	26, 28, 29

Form PCT/ISA/210 (continuation of second sheet) (July 1998)\*

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/09352

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	MPSEARCH, Oxford Molecular LTD., 1993-1998 for SEQ ID NO:1-26, SEQ ID NO: 28-33 and SEQ ID NO:35-54.	18, 19